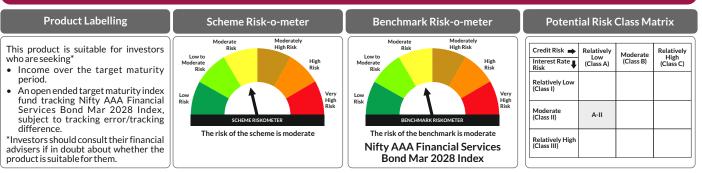
Axis Asset Management Company Limited (Investment Manager)

### KEY INFORMATION MEMORANDUM AND APPLICATION FORM

### **AXIS NIFTY AAA BOND FINANCIAL SERVICES - MAR 2028 INDEX FUND**

(An open-ended Target Maturity Index Fund investing in constituents of Nifty AAA Financial Services Bond Mar 2028 Index. A moderate interest rate risk and relatively low credit risk)

### Benchmark: Nifty AAA Financial Services Bond Mar 2028 Index



(The product labelling assigned during the New Fund Offer is based on internal assessment of the Scheme Characteristics or model portfolio and the same may vary post NFO when actual investments are made)

### Offer of Units of ₹ 10 each during the New Fund Offer and Continuous offer for Units at NAV based prices

### NEW FUND OFFER OPENS ON: FEBRUARY 27, 2025 NEW FUND OFFER CLOSES ON: MARCH 04, 2025

Scheme re-opens on or before : Within five Business Days from the date of allotment

Name of Mutual Fund	: Axis Mutual Fund
Name of Asset Management Company	: Axis Asset Management Company Ltd.
Name of Trustee Company	: Axis Mutual Fund Trustee Ltd
Addresses, Website of the entities	: One Lodha Place, 22nd & 23rd Floor, Senapati Bapat Marg, Lower Parel, Mumbai, Maharashtra, Pin Code - 400013. www.axismf.com
Name of Sponsor	: Axis Bank Ltd.

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.axismf.com.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated February 17, 2025.

Nameofscheme	Axis Nifty AAA Bond Financial Services - Mar 2028 Index Fund (An open-ended Target Maturity Index Fund investing in constituents of Nift AAA Financial Services Bond Mar 2028 Index. A moderate interest rate risk and relatively low credit risk)						
Scheme code	AXIS/O/O/DIN/25/01/0095						
Investment Objective	The investment objective of the scheme is to provide investment returns before fees and expenses tha securities as represented by the Nifty AAA Financial Services Bond Mar 2028 Index, subject to trackin						
	There is no assurance that the investment objective of the Scheme will be achieved.						
Asset Allocation Pattern	Under normal circumstances, the asset allocation pattern will be:						
of the Scheme	Instruments	Indicative Allocati	on (% of total assets)				
		Minimum	Maximum				
	Fixed Income Instruments replicating Nifty AAA Financial Services Bond Mar 2028 Index**	95	100				
	Debt and Money Market Instruments*^ 0 5						
	** Including Fixed Income Instruments not forming part of the Index, invested in compliance with Para 3.5 of SEBI Master circular for Mutua Funds and further amended from time to time.						
	*During normal circumstances, the Scheme's exposure to money market instruments will be in line with the asset allocation table. However, i case of maturity of instruments in the Scheme portfolio, the reinvestment will be in line with the index methodology.						
	^ Residual portion of 5% of the net assets of the Scheme is provided for liquidity purposes. For liquidity purposes, the Scheme would invest in 'liquid assets' as per clause 4.5.1 of SEBI Master Circular of Mutual funds as amended from time to time.						
	Investment in repo/reverse repo in corporate debt securities						
	The Scheme may participate in repo/reverse repo in corporate debt up to 5% of the net assets of the Scheme.						
	SecuritiesLending						
	The Scheme shall adhere to the following limits should it engage in Securities Lending.						
	1. Not more than 20% of the net assets of the Scheme can generally be deployed in Securities Lend	ling.					

Asset Allocation Pattern
of the Scheme (Contd.)

2.

### Investment in Units of debt and liquid Mutual Fund

The Scheme may invest up to 5% of the net assets of the Scheme in units of debt and liquid mutual fund schemes of Axis AMC or of other mutual funds in conformity with the investment objective of the Scheme and in terms of the prevailing SEBI (MF) Regulations.

Not more than 5% of the net assets of the Scheme can generally be deployed in Securities Lending to any single counter party/intermediary

### Investment in Short Term Deposits

(as may be applicable).

Pending deployment of the funds in securities in terms of investment objective of the Scheme, the AMC may in terms of Para 12.16 of Master Circular for Mutual Fund Scheme as may be amended from time to time, park the funds of the Scheme in short term deposits of the Scheduled Commercial Banks

The cumulative gross exposure through debt & money market instrument repo transactions in corporate debt securities, should not exceed 100% of the net assets of the Scheme in accordance Para 12.24 of Master Circular of Mutual Fund as amended from time to time. Cash or cash equivalents with residual maturity of less than 91 days shall be treated as not creating any exposure. SEBI vide letter dated November 3, 2021 has clarified that Cash Equivalent shall consist of Government Securities, T-Bills and Repo on Government Securities having residual maturity of less than 91 days.

Being a passively managed index fund, change in investment pattern is normally not foreseen. However, for short durations part of the corpus may be pending for deployment, in cases of extreme market conditions, special events or corporate events comprising the index. During such period, the AMC may invest funds as part of the total assets in the Tri-Party Repos (TREPS) on Government Securities.

The Scheme shall not carry out short selling, Credit Default Swaps, Overseas Investments, securitized debt, debt instruments having structured obligations / credit enhancement, debt instruments with special features as specified under Para 12.2 of SEBI Master Circular for Mutual Funds, REITs & InvITs and exposure in derivative instruments.

Sr. No.	Type of Instrument	Percentage of Exposure	Circular References
1.	Tri party Repo	Allocation may be made to TREPS for any amounts that are pending deployment or on account of any adverse market situation.	-
2.	Mutual Fund Units	The Scheme may invest upto 5% of the net assets of the Scheme in units of debt and liquid mutual fund schemes of Axis AMC or of other mutual funds in conformity with the investment objective of the Scheme and in terms of the prevailing SEBI (MF) Regulations.	Clause 4 of Seventh Schedu SEBI (MF) Regulations.
3.	Repo and Reverse repo in corporate debt securities	The Scheme may participate in repo/reverse repo in corporate debt upto 5% of the net assets of the Scheme.	Para 12.18 of SEBI Mas Circular for Mutual Funds.
4.	Securities Lending	<ul> <li>The Scheme shall adhere to the following limits should it engage in Securities Lending.</li> <li>1. Not more than 20% of the net assets of the Scheme can generally be deployed in Securities Lending.</li> <li>2. Not more than 5% of the net assets of the Scheme can generally be deployed in Securities Lending to any single counter party/intermediary (as may be applicable).</li> </ul>	Para 12.11 of SEBI Mas Circular for Mutual Fund amended from time to time.
n the Reg he Schen	ulations / circulars from time to t ne shall not invest in below instru		nd revised to the extent of cha
Sr.No.	Type of Instrument		
1	Credit default swaps		
2.	Overseas Securities		
3.	REITS and InVITS		
4.	Securitized Debt		
	Debt instruments with specia Credit Enhancement/Structu		
		Ired Obligations	
6.			
	The Scheme shall not underta	skeShortselling	

. Indicative Table (Actual instrument/percentages may vary subject to applicable SEBI circulars))

**Portfolio rebalancing due to short term defensive considerations:** Due to market conditions, the AMC may invest beyond the range set out in the asset allocation. Such deviations shall normally be for a short term and defensive considerations as per Para 1.14.1.2 of Master Circular for Mutual Funds, and the fund manager will rebalance the portfolio within 7 calendar days from the date of deviation.

**Portfolio rebalancing due to passive breaches:** In the event of deviation due to change in constituents of the index due to periodic review, in accordance with Para 3.5.3 of Master Circular for Mutual Funds as amended from time to time, the portfolio of the Scheme shall be rebalanced within 7 calendar days from the date of such deviation.

Any transactions undertaken in the scheme portfolio of the Index Fund in order to meet the redemption and subscription obligations shall be done while ensuring that post such transactions replication of the portfolio with the index is maintained at all points of time.

Investment Strategy The scheme follows a passive investment strategy

Axis Nifty AAA Bond Financial Services - Mar 2028 Index Fund is a passively managed index fund which will employ an investment approach designed to track the performance of Nifty AAA Financial Services Bond Mar 2028 Index.

The Scheme will follow Buy and Hold investment strategy in which debt instruments issued by the financial services sector will be held till maturity unless sold for meeting redemptions/rebalancing.

The Scheme shall replicate the index. In case the Scheme is not able to replicate the index the Fund Manager may invest in other issuances within the limits specified and subject to conditions laid down by Para 3.5 of Master Circular for Mutual Funds as amended from time to time. During normal circumstances, the Scheme's exposure to money market instruments will be in line with the asset allocation table. However, in case of maturity of instruments in the scheme portfolio, the reinvestment will be in line with the index methodology.

Pursuant to Para 3.5 of SEBI Master Circular for Mutual Funds, as amended by SEBI from time to time the scheme being a Target Maturity Fund shall be considered to be replicating the underlying index, provided:

The following norms for permissible deviation in duration are followed:

a) For a portfolio with residual maturity of up to 5 years: Either +/- 3 months or +/- 10% of duration, whichever is higher.

However, at no point of time, the residual maturity of any security forming part of the portfolio shall be beyond the target maturity date of the Index Fund.

of the Scheme.         Eligible investors / modes for applying         All categories of investors (whether existing or new Unitholders) as permitted under the Scheme Information Document of the Scheme.         eligible to subscribe under Direct Plan. Investments under Direct Plan can be made through various modes offered by the Fund for invest directly with the Fund (except Platform(s) where investors' applications for subscription of units are routed through Distributors).         All the plans will have common portfolio.         Default Option/Facility         Default Option/Facility The investor must clearly specify his choice of option/facility. In the absence of such clear instruction, it will be assumed that the investor has opted for 'default' option / facility and the application will be processed accordingly. The default plan/ opt facility are:         Default Option/Facility       Default Option: Growth (between Growth and IDCW)         Default Facility: IDCW Re-investment facility (between IDCW Re-investment and IDCW Payout facility).         For detailed disclosure on default plans and options, kindly refer SAI.         Applicable NAV       Subscriptions/Purchases including Switch- ins:         1. where the application is received upto 3.00 pm on a Business day and funds are available for utilization before the cut-off time - the con NAV of the Business Jay shall be applicable;         2. where the application is received after 3.00 pm on a Business day and funds are available for utilization before the cut-off time - the closing NAV of the next Business Day shall be applicable;         3. irrespective of the tine of r							
Insertments in debt and money market instruments are subject to interser that rais, reinvestment risk, candit risk, spread prepapment risk, etc. 10th extendent of the Scheme Sinsurbuscurities.           Risk Mitigation Strategia         Risk instruments in the investment inclusion. Effective Risk Management is critical to Fund Management for achieving financial source investments by the Scheme shall be made as per the investment togics best of the Scheme and provisions of the Regulations. For more details please refers ID.           Plans and Options         Axis Nifty AAA Bond Financial Services - Mar 2028 Index Fund - Direct Plan Axis Nifty AAA Bond Financial Services - Mar 2028 Index Fund - Direct Plan Axis Nifty AAA Bond Financial Services - Mar 2028 Index Fund - Regular Plan Each plan offers the following options:	Risk Profile of the Scheme	Scheme specific Risk Factors are summarized b	elow:				
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Please refer to the SID for further details.           Risk Mitigation Strategia         Pressure for the side index of the investment function. Effective Risk Management is critical to Fund Management for achieving financial source investments by the Scheme shall be made as per the investment toget cites of the Scheme and provisions of the Regulations.           Pressure details please refers ID.           Plans and Options         Acit Nifty AAA Bond Financial Services - Mar 2020 Index Fund - Direct Plan Each Jano ffees the following options:           a         Crewth Option         Internet Statistic and Services - Mar 2020 Index Fund - Direct Plan (Artistic Artistic Artis Artistic Artis Artistic Artistic Artis Artistic Artistic Artist				stment risk, basis risk, credit risk, spread risk			
Risk Mitigation Strategies         Risk is an inherent part of the investment function. Effective Risk Management function to The Regulation.           Prims and Options         Risk is an inherent part of the investment the investment objectives of the Scheme and provisions of the Regulation.           Prims and Options         Axis Nity AAA Bond Financial Services - Mar 2020 Index Fund - Regular Plan           Each plan offers the following options:         Axis Nity AAA Bond Financial Services - Mar 2020 Index Fund - Regular Plan           Each plan offers the following options:         Regular Plan: Regular Plan: sequilable for all types of investors investors investing through a Distributor.           Descent Distribution cure Capital Withdrawal (IDCW) (IDCW Payout Facility and IDCW Re-Investors investors investors investing through a Distributor.           Descent Distribution cure Capital Withdrawal (IDCW) (IDCW Diston: Under this option. IDCW will not be declared under this option. The income attributable to Units under this option will continue to errors investors who route their investments through a Distributor.           IDCW Option: Under this option. IDCW will not be declared under this option will continue to errors investors who route their investments through a Distributor.           IDCW Option: Under this option. IDCW will not be declared to Units under this option will continue to errors investors who route their investment strategies and their supplice in a strategies and an applicable statutory levels and the discretifies the supplice and applice declared to Units under the South and applicable statutory levels at the discretifies of the South and applice discretifies option in IDCW applice with the Paul Accound and th			ne's investments in such securities.				
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Growth Option: IDCWs will not be declared under this option. The income attributable to Units under this option.         IDCW Option: Under this option, IDCWs will be declared (subject to deduction of tax at source, if any) at specified frequencies at the discretion of the Trustee, subject to availability of distributable surplus calculated in accordance with SEBI (MF) Regulations. The amount can be distributed out of investors calculated in Reservel, which is part of sale price that represents realized gains.         On payment of IDCV, the NAV of the Unit under IDCW option will fall to the extent of the IDCW payout and applicable statutory levies any.         It must be distinctly understood that the actual declaration of IDCW and frequency thereof is at the sole discretion of the Board of Direct Trastee Company. There is no assume or guarantee to the Unit holders as to the rate of IDCW would be compulsorily reinvested in the option of the Scheme and the Computer of its equal to or less than Rs. 500/- then the IDCW would be compulsorily reinvested in the option of the Scheme and Idcecept Hafford(ms) where investors' applications for subscription of units are routed through Distributors]. All the plans will have common portfolio.         Default Option/Facility       Default Option/Facility         Default Option/Facility. The investor must clearly specify his choice of option/facility. In the absence of such clear instruction, it will be assumed that the investor has and options, kindly refer SAI.         Applicable NAV       Subscriptions: Growth (between Growth and IDCW)         Default Option/Facility. The investor must clearly specify his choice of option/facility. In the absence of such clear instruction, it will be assumed that the investor has a policito, indive free SAI. <td></td> <td>-</td> <td>n a Distributor.</td> <td></td>		-	n a Distributor.				
invested in the Scheme and will be reflected in the NAV of Units under this option.         IDCW Option: Under this option, IDCWs will be declared (subject to deduction of tax at source, if any) at specified frequencies at the discretion of the Trustee, subject to availability of discributable surplus calculated in accordance with SEBI (MF) Regulations. The amour can be distributed out of investors capital (Equalization Reserve), which is part of side price that represents realized gains.         On payment of IDCW, the NAV of the Unit under IDCW option will full to the extent of the IDCW payout and applicable statutory levies any.         It must be distinctly understood that the actual declaration of IDCW and frequency thereof is at the sole discretion of the Board of Dire of Trustee Company. There is no assurance or guarantee to the Unit holders as to the rate of IDCW distribution nor that it be paid regula The Trustee reserves the right to declare a IDCW at any other frequency in addition to the frequencies mentioned above.         HIDCW payable under IDCW Payout option is equal to or less than Rs. 500- then the IDCW would be compulsorily reinvested in the option frequencies of investors (modes for applying         All categories of investors (whether existing or new Unitholders) as permitted under the Scheme Information Document of the Scheme eligible to subscription under Direct Phan. Investments under Direct Phan. Investment subor and price and above.         All the plans will have common portfolic.       Default Option/Facility         Default Option/Facility       Default Option/Facility         Default Option: Growth (between Growth and IDCW)       Default Option: Growth (between Growth and IDCW)         Default O							
IDCW Option: Under this option, DCWs will be declared (subject to deduction of tax at source, if any) at specified frequencies at the discretion of the Trustee, subject to availability of distributable surplus calculated in accordance with SEBI (MF) Regulations. The amound can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.         On payment of IDCW, the NAV of the Unit under IDCW option will fall to the extent of the IDCW payout and applicable statutory levies any.         It must be distinctly understood that the actual declaration of IDCW and frequency thereof is at the sole discretion of the Board of Dire of Trustee (Sompany, There is no assurance or guarantee to the Unit holders as to the rate of IDCW distribution nor that it be paid regula. The Trustee reserves the right to declare a IDCW at any other frequency in addition to the frequencies mentioned above.         If IDCW payable under IDCW Payout option is equal to or less than Rs. 500/- then the IDCW would be compulsorily reinvested in the option of the Scheme.         Eligible investors / modes for applying         All categories of investors (whether existing or new Unitholders) as permitted under the Scheme Information Document of the Scheme.         eligible investors and the ave common portfolio.         Default Option/Facility         Default Option/Facility The investor must clearly specify his choice of option/facility. In the absence of such clear instruction, it will be assumed that the investor has opted for 'default' option / facility and the application will be processed accordingly. The default plan optificality is part of all disclosure on default plans and options, kindly refer SAI.         Applicabl				its under this option will continue to remain			
On payment of IDCW, the NAV of the Unit under IDCW option vilif all to the extent of the IDCW payout and applicable statutory levies any.         It must be distinctly understood that the actual declaration of IDCW and frequency thereof is at the sole discretion of the Board of Direo of Trustee Company. There is no assurance or guarantee to the Unit holders as to the rate of IDCW distribution nor that it be paid regula. The Trustee reserves the right to declare a IDCW at any other frequency in addition to the frequencies mentioned above.         If IDCW payable under IDCW Payout option is equal to or less than Rs. 500/- then the IDCW would be compulsorily reinvested in the or of the Scheme.         Eligible investors / modes for applying         All categories of investors (whether existing or new Unitholders) as permitted under the Scheme Information Document of the Scheme eligible to subscribe under Direct Plan can be made through various modes offered by the Fund for invest directly with the Fund [escept Platorn(s) where investors' applications for subscription of units are routed through Distributors].         All the plans will have common portfolio.       Default Option/Facility         Default Option/Facility       Default Option/Facility (Detween Growth and IDCW)         Default Option/Facility Dire with the investor has opted for 'default' option / facility and the application is equal to a subscription of units of the Scheme and the following NAVs st applications received apter 3.00 pm on a Business day and funds are available for utilization before the cut-off time - the c NAV of the Business Day and funds are available for utilization before the cut-off time - the closing NAV of the existence are not available for utilization on the same day or before the cut time of the t		ce with SEBI (MF) Regulations. The amounts					
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of the Scheme.         Eligible investors / modes for applying         All categories of investors (whether existing or new Unitholders) as permitted under the Scheme Information Document of the Scheme.         eligible to subscribe under Direct Plan. Investments under Direct Plan can be made through various modes offered by the Fund for invest directly with the Fund (except Platform(s) where investors' applications for subscription of units are routed through Distributors).         All the plans will have common portfolio.         Default Option/Facility         Default Option/Facility The investor must clearly specify his choice of option/facility. In the absence of such clear instruction, it will be assumed that the investor has opted for 'default' option / facility and the application will be processed accordingly. The default plan/ opt facility are:         Default Option/Facility       Default Option: Growth (between Growth and IDCW)         Default Facility: IDCW Re-investment facility (between IDCW Re-investment and IDCW Payout facility).         For detailed disclosure on default plans and options, kindly refer SAI.         Applicable NAV       Subscriptions/Purchases including Switch- ins:         1. where the application is received upto 3.00 pm on a Business day and funds are available for utilization before the cut-off time - the con NAV of the Business Jay shall be applicable;         2. where the application is received after 3.00 pm on a Business day and funds are available for utilization before the cut-off time - the closing NAV of the next Business Day shall be applicable;         3. irrespective of the tine of r		It must be distinctly understood that the actua of Trustee Company. There is no assurance or a The Trustee reserves the right to declare a IDC	guarantee to the Unit holders as to the rate of IE CW at any other frequency in addition to the frequency	DCW distribution nor that it be paid regularly. quencies mentioned above.			
All categories of investors (whether existing or new Unitholders) as permitted under the Scheme Information Document of the Scheme eligible to subscribe under Direct Plan. Investments under Direct Plan can be made through various modes offered by the Fund for invest directly with the Fund (except Platform(s) where investors' applications for subscription of units are routed through Distributors).         All the plans will have common portfolio.       Default Option/Facility         Default Option/Facility       Default Option/Facility         Default Divor/Facility       Default Option/Facility The investor must clearly specify his choice of option/facility. In the absence of such clear instruction, it will be assumed that the investor has opted for 'default' option / facility and the application will be processed accordingly. The default plan / opt facility are:         Default Facility: IDCW Re-investment facility (between IDCW Re-investment and IDCW Payout facility).       For detailed disclosure on default plans and options, kindly refer SAI.         Applicable NAV       Subscriptions/Purchases including Switch-ins:       The following cut-off timings shall be observed by the Mutual Fund in respect of purchase of units of the Scheme and the following NAVs st applied for such purchase:         1.       where the application is received upto 3.00 pm on a Business day and funds are available for utilization before the cut-off time - the c NAV of the Business Day - the closing NAV of the next Business Day shall be applicable;         2.       where the application is received after 3.00 pm on a Business day and funds are available for utilization before the cut-off time - the closing NAV of the next Business Day shall be applicabl		If IDCW payable under IDCW Payout option is equal to or less than Rs. 500/- then the IDCW would be compulsorily reinvested in the option of the Scheme.					
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Default Option/Facility         Default Option/Facility The investor must clearly specify his choice of option/facility. In the absence of such clear instruction, it will be assumed that the investor has opted for 'default' option / facility and the application will be processed accordingly. The default plan/ optifacility are:         Default Option: Growth (between Growth and IDCW)         Default Facility: IDCW Re-investment facility (between IDCW Re-investment and IDCW Payout facility).         For detailed disclosure on default plans and options, kindly refer SAI.         Applicable NAV         Subscriptions/Purchases including Switch - ins:         The following cut-off timings shall be observed by the Mutual Fund in respect of purchase of units of the Scheme and the following NAVs shapplied for such purchase:         1. where the application is received upto 3.00 pm on a Business day and funds are available for utilization before the cut-off time - the con NAV of the Business day shall be applicable;         2. where the application is received after 3.00 pm on a Business day and funds are available for utilization on the same day or before the cut ime of the next Business Day - the closing NAV of the next Business Day - the closing NAV of the next Business Day and funds are available for utilization before the cut-off time - the closing NB Business day on which the funds are available for utilization before the cut-off time - the closing NB Business day on which the funds are available for utilization before the cut-off time - the closing NB Business day on which the funds are available for utilization before the cut-off time - the closing NB Business day on which the funds are available for utilization before the cut-off time - the closing NB		All categories of investors (whether existing or new Unitholders) as permitted under the Scheme Information Document of the Scheme are eligible to subscribe under Direct Plan. Investments under Direct Plan can be made through various modes offered by the Fund for investing directly with the Fund {except Platform(s) where investors' applications for subscription of units are routed through Distributors}.					
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Default Option: Growth (between Growth and IDCW)         Default Facility: IDCW Re-investment facility (between IDCW Re-investment and IDCW Payout facility).         For detailed disclosure on default plans and options, kindly refer SAI.         Applicable NAV       Subscriptions/Purchases including Switch - ins:         The following cut-off timings shall be observed by the Mutual Fund in respect of purchase of units of the Scheme and the following NAVs shapplied for such purchase:         1. where the application is received upto 3.00 pm on a Business day and funds are available for utilization before the cut-off time - the con NAV of the Business day shall be applicable;         2. where the application is received after 3.00 pm on a Business day and funds are available for utilization on the same day or before the cut-off time of the next Business Day shall be applicable;         3. irrespective of the time of receipt of application, where the funds are not available for utilization before the cut-off time - the closing NAV of the next Business day on which the funds are available for utilization before the cut-off time - the closing N Business day on which the funds are available for utilization shall be applicable.         For determining the applicable NAV for allotment of units in respect of purchase / switch in the Scheme, it shall be ensured that:         i. Application is received before the applicable cut-off time         ii. Funds for the entire amount of subscription/purchase as per the application are credited to the bank account of the Scheme befor cutoff time.         iii. The funds are available for utilization before the cut-off time.         iiii		Default Option/Facility The investor must clearly specify his choice of option/facility. In the absence of such clear instruction, it will be assumed that the investor has opted for 'default' option / facility and the application will be processed accordingly. The default plan/ option /					
For detailed disclosure on default plans and options, kindly refer SAI.         Applicable NAV         Subscriptions/Purchases including Switch-ins:         The following cut-off timings shall be observed by the Mutual Fund in respect of purchase of units of the Scheme and the following NAVs shapplied for such purchase:         1.       where the application is received upto 3.00 pm on a Business day and funds are available for utilization before the cut-off time - the context Business day shall be applicable;         2.       where the application is received after 3.00 pm on a Business day and funds are available for utilization on the same day or before the cut time of the next Business Day - the closing NAV of the next Business Day shall be applicable;         3.       irrespective of the time of receipt of application, where the funds are not available for utilization before the cut-off time - the closing NB usiness day on which the funds are available for utilization before the cut-off time - the closing NB usiness day on which the funds are available for utilization before the cut-off time - the closing NB usiness day on which the funds are available for utilization before the cut-off time - the closing NB usiness day on which the funds are available for utilization before the cut-off time - the closing NB usiness day on which the funds are available for utilization before the cut-off time         ii.       Application is received before the applicable cut-off time         iii.       Three funds are available for utilization before the cut-off time.         iii.       The funds are available for utilization before the cut-off time.         iii.       The fu		Default Option: Growth (between Growth and IDCW)					
Applicable NAV       Subscriptions/Purchases including Switch - ins:         The following cut-off timings shall be observed by the Mutual Fund in respect of purchase of units of the Scheme and the following NAVs shapplied for such purchase:         1.       where the application is received upto 3.00 pm on a Business day and funds are available for utilization before the cut-off time - the closed the Business day shall be applicable;         2.       where the application is received after 3.00 pm on a Business day and funds are available for utilization on the same day or before the cut-off time of the next Business Day - the closing NAV of the next Business Day shall be applicable;         3.       irrespective of the time of receipt of application, where the funds are not available for utilization before the cut-off time - the closing N Business day on which the funds are available for utilization before the cut-off time - the closing N Business day on which the funds are available for utilization shall be applicable.         For determining the applicable NAV for allotment of units in respect of purchase / switch in the Scheme, it shall be ensured that:         i.       Application is received before the applicable cut-off time         ii.       Funds for the entire amount of subscription/purchase as per the application are credited to the bank account of the Scheme befor cutoff time.         iii.       The funds are available for utilization before the cut-off time.         iii.       The funds are available for utilization before the cut-off time.         iii.       The funds are available for utilization before the cut-off time.							
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<ol> <li>where the application is received upto 3.00 pm on a Business day and funds are available for utilization before the cut-off time – the closed of the Business day shall be applicable;</li> <li>where the application is received after 3.00 pm on a Business day and funds are available for utilization on the same day or before the cutime of the next Business Day - the closing NAV of the next Business Day shall be applicable;</li> <li>irrespective of the time of receipt of application, where the funds are not available for utilization before the cut-off time - the closing NB Business day on which the funds are available for utilization before the cut-off time - the closing NB Business day on which the funds are available for utilization shall be applicable.</li> <li>For determining the applicable NAV for allotment of units in respect of purchase / switch in the Scheme, it shall be ensured that:         <ol> <li>Application is received before the applicable cut-off time</li> <li>Funds for the entire amount of subscription/purchase as per the application are credited to the bank account of the Scheme befor cutoff time.</li> <li>The funds are available for utilization before the cut-off time.</li> </ol> </li></ol>	Applicable NAV	The following cut-off timings shall be observed		ts of the Scheme and the following NAVs shall be			
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<ul> <li>For determining the applicable NAV for allotment of units in respect of purchase / switch in the Scheme, it shall be ensured that: <ol> <li>Application is received before the applicable cut-off time</li> <li>Funds for the entire amount of subscription/purchase as per the application are credited to the bank account of the Scheme before cutoff time.</li> <li>The funds are available for utilization before the cut-off time.</li> </ol> </li> <li>The aforesaid provisions shall also be applicable to systematic transactions like Systematic Investment Plan, Systematic Transfer Plan</li> </ul>		3. irrespective of the time of receipt of application, where the funds are not available for utilization before the cut-off time - the closing NAV of					
<ul> <li>Application is received before the applicable cut-off time</li> <li>Funds for the entire amount of subscription/purchase as per the application are credited to the bank account of the Scheme before cutoff time.</li> <li>The funds are available for utilization before the cut-off time.</li> <li>The aforesaid provisions shall also be applicable to systematic transactions like Systematic Investment Plan, Systematic Transfer Plan</li> </ul>		,					
<ul> <li>ii. Funds for the entire amount of subscription/purchase as per the application are credited to the bank account of the Scheme befor cutoff time.</li> <li>iii. The funds are available for utilization before the cut-off time.</li> <li>The aforesaid provisions shall also be applicable to systematic transactions like Systematic Investment Plan, Systematic Transfer Plan</li> </ul>							
iii. The funds are available for utilization before the cut-off time. The aforesaid provisions shall also be applicable to systematic transactions like Systematic Investment Plan, Systematic Transfer Pla		ii. Funds for the entire amount of subscription/purchase as per the application are credited to the bank account of the Scheme before the					
The aforesaid provisions shall also be applicable to systematic transactions like Systematic Investment Plan, Systematic Transfer Pla							
offered by scheme(s).		The aforesaid provisions shall also be applicable to systematic transactions like Systematic Investment Plan, Systematic Transfer Plan, etc					
Redemptions including Switch - outs:							
The following cut-off timings shall be observed by the Mutual Fund in respect of Repurchase of units:							
a. where the application received upto 3.00 pm – closing NAV of the day of receipt of application; and		a. where the application received up to 3.00 p	${\sf m}$ – closing NAV of the day of receipt of application	on; and			
b. an application received after 3.00 pm - closing NAV of the next Business Day.			•				
The above mentioned cut off timing shall also be applicable to transactions through the online trading platform.				•.			
In case of Transaction through Stock Exchange Infrastructure, the Date of Acceptance will be reckoned as per the date & time; the transaction structure for which a system generated explained in will be insued to the investor							
enterentistick exitiance sinitactructure for which a system dependent on sub-will be issued to the investor	Minimum Application						
entered in stock exchange's infrastructure for which a system generated confirmation slip will be issued to the investor.  Minimum Application Purchase (NEO.): Additional Purchase Penurchase Penurchase							
Minimum Application Purchase (NFO & Continuous) Additional Purchase Repurchase	Amount/ Number of Offics	₹ 1/- thereafter.	₹ 1/- thereafter.	Redemption criteria.			
entered in stock exchange sinitiastructure for which a system generated confirmation slip will be issued to the investor.	Ainimum Application						
			₹ 1,000 and in multiples of	There will be no minimum			
Minimum Application Purchase (NFO & Continuous) Additional Purchase Repurchase			· ·				
Minimum Application Purchase (NFO & Continuous) Additional Purchase Repurchase	Amount/ Number of Units		· ·				

Despatch of Repurchase (Redemption) Request	The Scheme offers Units for Subscription and Redemption at NAV based prices on all Business Days on an ongoing basis commencing not later than 5 business days from the date of allotment. Under normal circumstances the AMC shall dispatch the redemption proceeds within three (3
	working days from the date of receipt of request from the Unit holder. The AMC shall adhere to guidelines published by AMFI /SEBI for exceptional circumstances under which the scheme is unable to transfer redemption or repurchase proceeds within prescribed timelines.
Benchmark Index	Nifty AAA Financial Services Bond Mar 2028 Index
Dividend Policy (IDCW)	The Trustee will endeavor to declare the IDCW as specified, subject to availability of distributable surplus calculated in accordance with the Regulations. The actual declaration of IDCW and frequency will inter-alia, depend on availability of distributable surplus calculated in accordance with SEBI (MF) Regulations and the decisions of the Trustee shall be final in this regard. There is no assurance or guarantee to the Unit holders as to the rate of IDCW nor that it will be paid regularly.
Name of the Fund Manager	Mr. Hardik Shah
Name of the Trustee Company	Axis Mutual Fund Trustee Limited
Performance of the scheme	Not applicable as the Scheme is a new fund to be launched.
Additional Scheme Related Disclosures	<ol> <li>Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors - Please refer the AMC website https://www.axismf.com/statutory-disclosures for said</li> </ol>
	<ol> <li>Disclosure of name and exposure to Top 7 issuers, stocks, groups and sectors as a percentage of NAV of the scheme in case of debt and equity ETFs/index funds – Please refer the AMC website https://www.axismf.com/statutory-disclosures for said details.</li> </ol>
	3. Portfolio turnover ratio for the one-year period ended : Not Applicable
	Above disclosures are not applicable as the Scheme is a new Scheme.
Expenses of the Scheme	For Continuous Offer
	(i) Entryload:NotApplicable
	Exit load : Nil
	The above mentioned load structure shall be equally applicable to the special products offered under the Scheme such as SIP, SWP, etc offered by the AMC.
	For switches between the Plans i.e. between Regular and Direct Plan or vice versa, load will be charged by the scheme.
	Exit load charged to the investors will be credited back to the Scheme net of GST.
	Investors are requested to check the prevailing load structure of the Scheme before investing. For any change in load structure AMC wil issue an addendum and display it on the website/Investor Service Centres.
	Para 10.4 of SEBI Master Circular for Mutual Fund dated May 19, 2023 has decided that there shall be no entry Load for all Mutual Fund schemes.
	The Trustee/AMC reserves the right to change / modify the Load structure from a prospective date. (ii) Recurring expenses
	In case of an index fund scheme, the total expense ratio of the Scheme including the investment and advisory fees shall not exceed 1.00 per cent of the daily net assets.
	Expenses as permissible under Regulation 52 of SEBI (MF) Regulations and as prescribed by SEBI from time to time shall be charged to the Scheme. The maximum limit of recurring expenses that can be charged to the Scheme would be as per Regulation 52 of the SEBI (MF Regulation, 1996. For details of such expenses please refer the SID of the Scheme.
	Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of Units will be paid/charged under Direct Plan.
	Investors can refer 'Total Expense Ratio of Mutual Fund Schemes' section on https://www.axismf.com/total-expense-ratio for Total Expense Ratio (TER) details.
	Actual expense for the financial year ended March 31, 2024 (unaudited): Not applicable as the Scheme is a new Scheme.
Tax treatment for the Investors (Unitholders)	Investors are advised to refer to the paragraph on Taxation in the "Statement of Additional Information" and to consult their own tax advisors with respect to the specific amount of tax and other implications arising out of their participation in the scheme.
Daily Net Asset Value (NAV) Publication	The AMC will calculate and disclose the first NAV of the Scheme within a period of 5 business days from the date of allotment after the closure of NFO. Subsequently, the AMC will calculate and disclose the NAVs on all Business Days. The AMC shall update the NAVs on website of the Association of Mutual Funds in India - AMFI (www.amfiindia.com) before 11.00 p.m. on every Business Day and shall also update the NAVs on the website of AMC (www.axismf.com. Please refer SID, for details.
For Investor Grievances please contact	Name and Address of Registrar : KFin Technologies Limited, Unit – Axis Mutual Fund, Selenium, Tower B, Plot Number 31 & 32, Financial District, Gachibowli, Hyderabad - 500008. Tel : 040 - 33211000.
	Name, address, telephone number, fax number, e-mail i.d. of the Mutual Fund : Mr. C P Sivakumar Nair, Axis Asset Management Company Ltd. One Lodha Place, 22nd & 23rd Floor, Senapati Bapat Marg, Lower Parel, Mumbai, Maharashtra, Pin Code – 400013. Phone no.: 022 - 6649 6102 E-mail: customerservice@axismf.com
Unitholders' Information	Account Statement: The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or SMS within 5 working days of receipt of valid application/transaction to the Unit holders registered e-mail address and/or mobile number (whether units are held in demat mode or in account statement form).
	A Consolidated Account Statement (CAS) detailing all the transactions across all mutual funds and holding at the end of the month shall be sent to the Unit holders in whose folio(s) transaction(s) have taken place during the month by mail or email on or before 15th of the succeeding month.
	Half-yearly CAS shall be issued at the end of every six months (i.e. September/March) on or before 21st day of succeeding month, to all investors providing the prescribed details across all schemes of mutual funds and securities held in dematerialized form across demat accounts, if applicable
	For further details, refer SAI.
	Annual Report: The Scheme annual report or an abridged summary thereof shall be mailed (emailed, where email id is provided unless otherwise required)) to all Unit holders not later than four months (or such other period as may be specified by SEBI from time to time) from the date or closure of the relevant accounting year (i.e. 31st March each year) and full annual report shall be available for inspection at the Head Office of the Mutual Fund and a copy shall be made available to the Unit holders on request on payment of nominal fees, if any. Scheme wise annual report shall also be displayed on the website of the Mutual Fund (www.axismf.com) and on the website of Association of Mutual Funds in India (www.amfiindia.com).
	Fortnightly Disclosures: The AMC will disclose the portfolio of the Debt Schemes (along with ISIN) on fortnightly basis on the website of the Mutual Fund and AMFI within 5 days of every fortnight in a user-friendly and downloadable spreadsheet format.
	Monthly/Half yearly Portfolio: The AMC will disclose the portfolio of the Scheme (along with ISIN) on Monthly and half yearly on the website of the Multi- the Mutual Fund and AMFI within 10 days from the close of each month and each half year (i.e. 31st March and 30th September) respectively in a user-friendly and downloadable spreadsheet format. Further, AMC shall publish an advertisement in an all India edition of one national English

	daily newspaper and one Hindi newspaper, every half year, disclosing the hosting of the half-yearly statement of its schemes' portfolio on the website of the Mutual Fund and AMFI and the modes through which unitholder(s) can submit a request for a physical or electronic copy of the statement of scheme portfolio.
	For more details, kindly refer SID & SAI.
Tracking Error and Tracking Difference	<b>Tracking Error</b> : The tracking error based on past one year rolling data, on a daily basis shall be disclosed on the website of AMC (www.axismf.com) and of the Association of Mutual Funds in India - AMFI (www.amfiindia.com).
	<b>Tracking Difference</b> : The annualized difference of daily returns between the index and the NAV of the Scheme shall be disclosed on the website of the AMC and AMFI, on a monthly basis, for tenures 1 year, 3 years, 5 years, 10 years and since the date of allotment of units.

NSE Disclaimer: The Axis Nifty AAA Bond Financial Services - Mar 2028 Index Fund are not sponsored, endorsed, sold or promoted by NSE INDICES LIMITED (formerly known as India Index Services & Products Limited ("IISL"). NSE INDICES LIMITED does not make any representation or warranty, express or implied, to the owners of the Axis Nifty AAA Bond Financial Services - Mar 2028 Index Fund or any member of the public regarding the advisability of investing in securities generally or in the Product(s) particularly or the ability of the Nifty AAA Financial Services Bond Mar 2028 Index FRI to track general stock market performance in India. The relationship of NSE INDICES LIMITED to the Issuer is only in respect of the licensing of the Indices and certain trademarks and trade names associated with such Indices which is determined, composed and calculated by NSE INDICES LIMITED without regard to the Issuer or the Product(s). NSE INDICES LIMITED does not have any obligation to take the needs of the Issuer or the owners of the Product(s) into consideration in determining, composing or calculating the Nifty AAA Financial Services Bond Mar 2028 Index TRI NSE INDICES LIMITED is not responsible for or has participated in the determination of the timing of, prices at, or quantities of the Product(s) to be issued or in the determination or calculation of the equation by which the Product(s). NSE INDICES LIMITED do not guarantee the accuracy and/or the completeness of the Nifty AAA Financial Services Bond Mar 2028 Index TRI or any data included therein and NSE INDICES LIMITED do not guarantee the accuracy and/or the product(s), or any other person or entity from the use of the Nifty AAA Financial Services Bond Mar 2028 Index TRI or any data included therein and NSE INDICES LIMITED by the Issuer, owners of the product(s), or any other person or entity from the use of the Nifty AAA Financial Services Bond Mar 2028 Index TRI or any data included therein. NSE INDICES LIMITED makes no express or implied warranties, and expressly discl

Email ID & Mobile Number: Investors should provide their own email address and mobile number to enable Axis AMC for speed and ease of communication in a convenient and cost-effective manner, and to help prevent fraudulent transactions.

Please refer Axis AMC website www.axismf.com for list of Official Point of Acceptance of Transactions for submission of transaction requests.

Axis Bank Ltd. is not liable or responsible for any loss or shortfall resulting from the operation of the scheme.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

### 1. GENERAL INSTRUCTIONS

- a. The application form should be completed in ENGLISH and in BLOCK LETTERS.
- b. All cheques, demand drafts and pay orders should be crossed "Account Payee only" and made in favour of "Scheme Name A/c First Investor Name" or "Scheme Name A/c Permanent Account No."
- c. If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application / transaction slip duly signed by investor(s).
- d. Any over-writing / changes made while filling the form must be authenticated by canceling the original entry, re-entering correct details and ensuring that all applicants counter-sign against each correction.
- e. Application forms along with supporting documents can be submitted to ISCs / OPAs, contact details of which are available on www.axismf.com.
- f. Investors must write the application form number / folio number on the reverse of the cheque / demand draft.
- g. Investors are requested to check contents of the account statement on receipt. Any discrepancy should be reported to the AMC / Registrar within 7 calendar days of the receipt of the statement; else contents of the statement would be presumed to be correct and binding. The AMC may modify any discrepancy at its discretion.
- h. Units will be allotted subject to realization of payment proceeds.
- i. Unitholder / Guardian name should be same as per PAN / KYC records. Please note that AMC at discretion may replace the name as per KRA.
- j. FATCA Declaration: Individual investors, please fill in FATCA/CRS annexure and attach along with Application form. Non-Individual investors, please fill in UBO form along with FATCA / CRS annexure and attach along with Application form available on our website www.axismf.com

### 2. DIRECT INVESTMENTS

Investors subscribing under Direct Plan of the scheme will have to indicate "Direct Plan" against the scheme name in the application form e.g. "Axis Arbitrage Fund - Direct Plan". Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but"Direct Plan" is indicated against the scheme name, the application will be processed under Direct Plan. Further, where application is received for Regular Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.

### Note: Direct Plan investment not applicable for ETF schemes.

### 3. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIN)

Investor investing through distributor shall mention EUIN on the application form, if he/she has been advised by Sales Person/ Employee/ Relationship Manager of the distributor this would assist in addressing any instance of mis-selling. If left blank, applicant(s) need to tick and sign the following declaration "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker." on the form. SEBI has made it mandatory to obtain EUIN no. for every employee/ relationship manager/ sales person of the distributor for selling mutual fund products.

### 4. DECLARATION AND SIGNATURES

- a. Thumb impressions must be attested by a Magistrate / Notary Public under his / her official seal.
- b. In case of HUF, the Karta needs to sign on behalf of the HUF.
- c. Applications by minors should be signed by their guardian.
- d. For Corporates, signature of the Authorised Signatory (from the Authorised Signatory List (ASL)) is required.

#### 5. PAYMENTS

- a. The AMC intends using electronic payment services (NEFT, RTGS, ECS (Credit), Direct Credit, etc.) to the extent possible for dividends / redemptions for faster realization of proceeds to investors. In case an investor wishes to receive payments vide cheques / demand drafts to be sent using a postal / courier service, please provide appropriate written instructions to the AMC / Registrar for the same.
- Please enclose a cancelled cheque leaf (or copy thereof) in case your investment instrument (pay-in) is not from the same bank account as mentioned under bank account details.
- c. Any communication, dispatch of redemption / dividend payments / account statements etc. would be made by the Registrar / AMC as per reasonable standards of servicing.
- d. The Debit Mandate is an additional facility available to Axis Bank account holders only.

#### 6. BANK DETAILS

It is mandatory for investors to mention bank account details on the form as per directives issued by SEBI. Applications without this information are liable to be rejected. The Mutual Fund / AMC reserve the right to hold redemption proceeds in case requisite bank details are not submitted.

### Option to register multiple bank accounts

The AMC / Mutual Fund has also provided a facility to investors to register multiple bank accounts. By registering multiple bank accounts, investors can use any of their registered bank accounts to receive redemption / dividend proceeds. Any request for a change in bank mandate requires 10 days for validation and verification. Further, these account details will be used by the AMC / Mutual Fund / R&T for verification of instruments (like cheques/DDs/POs) received at the time of subscription / purchase applications to ensure that subscription payments are received only from one of the registered bank accounts. Payments from non-registered bank accounts (called third party payments) will not be accepted (except where permitted as per SEBI regulations). Investors are requested to avail of this facility by filling in the application form for registration of multiple bank accounts available at any of our ISCs / OPAs or on our website www.axismf.com.

Cheques submitted at the time of purchase should be from the beneficiary investors account or from an account mentioned in your Multiple Bank Accounts Registration form (except for minors for amounts less than ₹ 50,000 and Corporates / non-individuals).

Demand drafts submitted at the time of subscription should be accompanied by a banker's certificate clearly stating the investor's name and PAN as well as mentioning that the demand draft has been issued by debiting the investor's own bank account. Pre-funded instruments issued by the bank against cash shall not be accepted for investments of ₹50,000 or more. This pre-funded instrument should also be accompanied by a certificate from the banker giving the investor's name, address and PAN.

Payments made through RTGS/NEFT/NECS should be accompanied by a banker's certificate stating that the RTGS/NEFT/NECS payment has been made by debiting the investor's own bank account along with mention of the investor's name and PAN.

### 7. THIRD PARTY PAYMENTS

When payment is made through instruments issued from a bank account other than that of the investor, the same is referred to as a Third Party payment. Where an investor has opted to register multiple bank accounts (using the 'Multiple Bank Accounts Registration Form'), and purchase payment is made from an account different from what is registered, any one of the following documents need to be provided as proof along with the payment instrument.

- Banker's certificate stating that the investment is from the investor's own bank account along with mention of his name and PAN
- Bank account passbook or statement mentioning the investor's name / PAN

Restriction on acceptance of Third Party payments for subscriptions, and exceptions thereto  $% \left( {{{\rm{D}}_{\rm{T}}}} \right)$ 

- a. In case of payments from a joint bank account, one of the joint holders of the bank account must be the first account holder under the investment application.
- b. The Asset Management Company shall not accept subscriptions with Third Party payments except in the following situations:
  - Where payment is made by parents/grand parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹50,000
    - (each regular purchase or per SIP installment). However this restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio.
  - 2. Where payment is made by an employer on behalf of an employee under Systematic Investment Plans through payroll deductions.
  - 3. Custodian on behalf of an FII or a client.
  - Documents to be submitted for exceptional cases
  - KYC is mandatory for all investors (guardian in case of minor) and the person making the payment i.e. the third party. Investors and the person making the payment should attach their valid KYC acknowledgement letter to the application form.
  - 2. Submission of a separate, complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. third party. The said Declaration Form shall, inter-alia, contain the details of the bank account from which the payment is made and the relationship with the investor(s). Please contact the nearest OPA/ISC of Axis Mutual Fund or visit our website www.axismf.com for the declaration form.

### 8. KYC

All Applicants (including POAs and Guardians) are required to be KYC compliant irrespective of the amount of investment. In case you are not KYC certified, please fill in the KYC form (individual or Non-Individual). A KYC acknowledgement letter should be submitted along with application for opening a folio or making an investment. Each holder in the folio must be KYC compliant.

Investors may kindly note that new SEBI Circular issued regarding uniformity in the KYC process was effective from January 1, 2012.

- SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries, new Investors are therefore requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are available on our website www.axismf.com.
- 2. The Mutual Fund shall perform the initial KYC of its new investors and shall also accept the details change form for investors who have done their KYC prior to 31st Dec11.
- 3. It is mandatory to carry out In-Person Verification(IPV) for processing the KYC of its new/existing investors from January 1, 2012.
- 4. Once the KYC and IPV-In Person Verification has been done with any SEBI registered intermediary, the investor need not undergo the same process again with any another intermediary including mutual funds. However, the Mutual Fund reserves the right to carry out fresh KYC/additional KYC of the investor.
- 5. Existing KYC compliant investors of the Mutual Fund can continue to invest as per the current practice.
- 6. Non-individual investors will have to do a fresh KYC due to significant changes in KYC requirements.
- 7. In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, the additional details viz. Occupation details, Gross Annual Income/networth and Politically Exposed Person (PEP)\* status mentioned under section 2 & 3 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc., (applicable for first/sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

The said details are mandatory for both Individual and Non Individual applicants. \*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments,

senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc. Subsequently, SEBI, vide its circular no. MIRSD/Cir-5/2012 dated April 13, 2012 advised various intermediaries to upload KYC data of its existing customers into the KRA system. While uploading KYC data into the KRA system, intermediaries were also required to highlight such 'Missing/Not Available' KYC information of a customer, which was either not required or not taken previously, but was mandatory as per uniform KYC guidelines issued by SEBI.

 In accordance with AMFI circular - 35P/MEM-COR/54/2019-20 dated February 28, 2020, it is mandatory, KYC to be verified by KYC Registration Agency before processing redemption. Further, investor requested to complete KYC process before placing redemption request.

In accordance with AMFI Best practices guidelines circular no. 62/2015-16 dated September 18, 2015, investors may note the following:

It is mandatory for all new/existing investors to provide additional KYC information such as Income details, Occupation, association with politically exposed person, net worth etc. as mentioned in the application form. Subscription requests, without providing these details, are liable to be rejected. No subscriptions (whether fresh or additional) and switches pertaining to 'KYC on-hold' cases are accepted, unless the investor / unitholder also submits relevant KYC missing / updated information, which is appropriately updated on the KRA-KYC.

Further, it is mandatory for existing customers to complete In-Person Verification process and provide the missing KYC information failing which their applications / transaction requests for additional subscription (including switches) is liable to be rejected.

### 8A Operationalisation of Central KYC Records Registry (CKYCR)

Central Registry of Securitisation and Asset Reconstruction and Security interest of India ('CERSAI') has been authorised by Government of India to act as Central KYC Records Registry under Prevention of Money-Laundering (Maintenance of Records) Rules, 2005 ('PMLA Rules').

SEBI vide its circular ref. no. CIR/MIRSD/66/2016 dated July 21, 2016 and circular ref. no. CIR/MIRSD/120/2016 dated November 10, 2016 has prescribed that the Mutual Fund/ AMC should capture KYC information for sharing with CKYCR as per the KYC template prescribed by CERSAI for uniform and smooth implementation of CKYC norms for onboarding of new investors in mutual funds.

In accordance with the aforesaid SEBI circulars and AMFI best practice guidelines for implementation of CKYC norms with effect from February 1, 2017:

- a) Individual investors who have never done KYC process under KRA regime i.e. a new investor who is new to KRA system and whose KYC is not registered or verified in the KRA system shall be required to provide KYC details in the CKYC Form to the Mutual Fund/AMC.
- Individual investor who fills old KRA KYC Form, should provide additional / missing information using Supplementary KYC Form or fill CKYC Form.
- c) Details of investors shall be uploaded on the system of CKYCR and a 14 digit unique KYC identifier ('KIN') will be generated for such customer.
- d) New investors, who have completed CKYC process & have obtained KIN may quote their KIN in the application form instead of submitting CKYC Form/ Supplementary KYC Form.
- e) AMC/ Mutual Fund shall use the KIN of the investor to download the KYC information from CKYCR system and update its records.
- f) If the PAN of investor is not updated on CKYCR system, the investor should submit self-certified copy of PAN card to the Mutual Fund/AMC.

The CKYC Form and Supplementary KYC Form are available at Investor Service Centre (ISC) of Axis Mutual Fund and on website www.axismf.com.

The AMC reserves the right to reject transaction application in case the investor(s) fails to submit information and/or documentation as mentioned above. In the event of non compliance of KYC requirements, the Trustee / AMC reserves the right to freeze the folio of the investor(s).

### 9. ULTIMATE BENEFICIAL OWNERS(S)

SEBI vide its circular no. CIR/MIRSD/2/2013 dated January 24, 2013 further read with AMFI Best practices guidelines circular no. 62/2015-16 dated September 18, 2015 and other applicable regulations and guidelines, for identification of Beneficial Ownership to be followed by the intermediaries for determination of beneficial owners. A 'Beneficial owner' is defined as a natural person or persons who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement. In this regard, all categories of investors (including all new / existing investors / unitholders) (except individuals, companies) isted on a stock exchange or majority-owned subsidiary of such companies) are mandatorily required to provide beneficial ownership details for all investments. Failing which, fund reserves the right to reject applications / usbcription requests / additional subscription requests (including switches) / restrict further investments or seek additional information from investors who have not provided the requisite information on beneficial ownership. In the event of change in beneficial ownership, investors are requested to immediately update the details with the Fund/Registrar.

### 10. PERMANENT ACCOUNT NUMBER (PAN)

Each applicant is required to submit self attested PAN Card Copy (Including Guardian in case of Minor and POA holders). However PAN is not mandatory in case of Investors residing in the State of Sikkim, Central & State Government officials and officials appointed by the Courts e.g. Official Liquidator, Court receiver etc. (under the category of Government) subject to Axis AMC confirming the above mentioned status.

#### **PAN Exempt Investments**

SEBI vide its circular dated 24th July 2012, conveyed that the investments aggregating ₹ 50,000 in a rolling 12 month period or in a financial year i.e. April to March, in all the schemes of Mutual Funds are exempt from the PAN requirement.

Where the aggregate of the Lumpsum Investment (Fresh Purchase & Additional Purchase) and Micro SIP installments by an investor based on the rolling 12 month period/ in a financial year i.e. April to March does not exceed ₹ 50,000/, it shall be exempt from the requirement of PAN, (hereafter referred to as "Micro Investments").

PAN requirement exemption will be available only to Micro Investments made by the individuals being Indian Citizens (Including NRIs, Joint Holders<sup>4</sup>, Minor acting through Guardian and Sole proprietory firms not having PAN). Person of Indian Origin, Hindu Undivided Family.(HUF).Qualified Foreign Investor (QFI) and other categories of investors will not be eligible for this exemption. However the eligible investors are required to submit PAN exempt KYC issued by SEBI registered KRA (KYC Registration Authority).\* In case of joint holders, first holder must not possess a PAN.

### 11. APPLICATIONS ON BEHALF OF MINORS

- Where the investment is on behalf of a Minor by the Guardian:
- a. The Minor shall be the first and sole holder in the account.
- b. No Joint holders are allowed. In case an investor provides joint holder details, these shall be ignored.
- c. Guardian should be either a natural guardian (i.e. father or mother) or a court appointed legal guardian.
- d. Guardian should mention the relationship with Minor and date of birth of the Minor on the application form.
- e. A document evidencing the relationship and date of birth of the Minor should be submitted along with the application form. Photo copy of any one of the following documents can be submitted a) Birth certificate of the minor or b) school leaving certificate / mark sheet of Higher Secondary board of respective states, ICSE, CBSE etc. c) Passport of the minor d) Any other suitable proof evidencing the relationship.
- f. Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided.
- g. If the mandatory details and/or documents are not provided, the application is liable to be rejected without any information to the applicant.

### 12. APPLICATIONS UNDER POWER OF ATTORNEY

An applicant wanting to transact through a power of attorney must lodge the photocopy of the Power of Attorney (PoA) attested by a Notary Public or the original PoA (which will be returned after verification) within 30 days of submitting the Purchase Application Form / Transaction Slip at a Designated ISC / Official Point of Acceptance, or along with the application in case of application submitted duly signed by POA holder. Applications are liable to be rejected if the power of attorney is not submitted within the aforesaid period.

#### 13. SYSTEMATIC INVESTMENT PLAN

- a. A minimum gap of 21 days and not more than 90 days needs to be maintained between date of Application & SIP start date.
- b. Investor shall have the option of choosing any date. In case the selected date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next business day/date. If SIP debit date is not mentioned default date would be considered as 7th of every month.
- c. All SIP installment payment instructions must be of the same amount and the same monthly debit date (excluding first cheque).
- d. For daily, weekly and monthly frequency the SIP will be discontinued automatically if payment is not received for three successive installments. In case of yearly frequency, the SIP registration will stand automatically cancelled, in case of 2 consecutive failed debits.
- e. Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar KFin Technologies Limited. Revised timeline for SIP cancellation is T+2 working days. Any transaction presented before cancellation, shall be processed.
- f. In case payment is made using "At Par" cheques, investors must mention the MICR number of his bank branch. Where he hold's the bank account.
- g. An Investor will not hold Axis Mutual Fund, its registrars and / or service providers responsible if a transaction is delayed or not effected, or the investor bank account is debited in advance or after the specific SIP date because of the various clearing cycles of RBI's Electronic Clearing Facility (ECS) / Bank holiday. Axis Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility.
- h. Please refer below table for minimum installments:

	Daily/Weekly/Monthly			Yearly				
	Min.₹	₹	M	1in Inst.	Min.	₹	Mi	in Inst.
All schemes, except ETFs & schemes mentioned in tables below.	100			6	1200	0	3	
	Daily/W	/eek	ly	Mon	thly		Yea	rly
	Min.₹	M Ins		Min.₹	Min Inst.	Mi	n.₹	Min Inst.
Axis Overnight Fund & Axis Liquid Fund	1000	6	6	NA	NA	N	IA	NA
Axis ELSS Tax Saver Fund*	NA	N	A	500	6	60	00	3
Axis Banking & PSU Debt Fund, Axis Children's Fund, Axis Credit Risk Fund, Axis Dynamic Bond Fund, Axis Equity ETFs FoF, Axis Floater Fund, Axis Gilt Fund, Axis Money Market Fund, Axis Retirement Fund, Axis Short Duration Fund, Axis Silver Fund Of Fund, Axis Silver Fund Of Fund, Axis Treasury Advantage Fund, Axis Ultra Short Duration Fund	100	e	5	1000	6	120	000	3

Axis Nifty AAA Bond Plus SDL Apr 2026 50:50 ETF FoF, Axis CRISIL IBX 50:50 Gilt Plus SDL September 2027 Index Fund, Axis CRISIL IBX 50:50 Gilt Plus SDL June 2028 Index Fund, Axis Nifty SDL September 2026 Debt Index Fund AXIS CRISIL-IBX AAA NBFC INDEX - JUN 2027 FUND & Axis Nifty AAA Bond Financial Services - Mar 2028 Index Fund	NA	NA	1000	6	NA	NA
Axis CRISIL IBX SDL May 2027 Index Fund, Axis CRISIL IBX 70:30 CPSE Plus SDL April 2025 Index Fund & Axis Long Duration Fund	NA	NA	1000	6	12000	3

Note: For all schemes, minimum amount is as per above table and thereafter in multiple of  $\overline{\textbf{T}}$  1.

- For Axis ELSS Tax Saver Fund Minimum amount is as per above table and thereafter in multiple of ₹500\*.
- i. If the period is not specified by the unit holder on the SIP section then the SIP enrollment will be consider from the upcoming month (Gap of 21 days) till 40 years.
- j. If no amount is mentioned minimum scheme amount would be considered. Please refer KIM & SID of the respective scheme.

### Change of Debit Bank Details (SIP Auto Debit Form)

- a. Investor can change SIP debit bank, by filling SIP Change of Bank form and, attaching signed pre-printed cancelled cheque of the new bank along with the mandate.
- b. The cheque copy should have the investor's name printed on it.
- c. A minimum gap of 21 days is required for incorporation of new bank details.
- d. In case of change of debit bank details the investor needs to provide the new bank details on mandate and SIP start date should be in continuation with the SIP cycle and end date will remain the same.
- e. Except new debit bank details rest of the details i.e. SIP period, amount etc. will remain same as the original SIP investment.

### 14. NATIONAL AUTOMATED CLEARING HOUSE (NACH)

NACH is a funds clearing platform set up by NPCI similar to the existing ECS of RBI. NPCI has implemented NACH for Banks, Financial Institutions, Corporates and Government a web based solution to facilitate interbank, high volume, electronic transactions which are repetitive and periodic in nature.

National Payments Corporation of India (NPCI)

NPCI was set up by Indian Banks Association under a mandate from the Reserve Bank of India in 2008. It is the umbrella organization for all retail payment systems. NCPI would provide robust payment solutions to banks and financial institutions across India.

### 15. AUTO DEBIT PARTNERING BANKS

Partnering Banks: Axis Bank, Bank of India, Punjab National Bank (16 digit), State Bank of India & Union Bank Of India.

### 16. NRIs, FIIs

- a. Repatriation basis
  - I. NRIs: Payment may be made either by inward remittance through normal banking channels, or from funds held in a Non-Resident (External) Rupee Account (NRE) / Foreign Currency (Non-Resident) Account (FCNR). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts, an account debit certificate from the bank issuing the draft confirming the debit will need to be enclosed.
  - II. FIIs can pay their subscription either by inward remittance through normal banking channels or from funds held in Foreign Currency Account or Non-Resident Rupee Account maintained by the FII with a designated branch of an authorised dealer.
  - III. Axis Mutual Fund has decided to restrict subscriptions from U.S. Persons (including NRIs and all persons residing in U.S, U.S Corporations or other entities organized under the laws of U.S) and Residents of Canada in the Schemes of Axis Mutual Fund.
- b. Non-repatriation basis

In the case of NRIs, payment may be made either by inward remittance through normal banking channels or out of funds held in a NRE / FCNR / Non-Resident Ordinary Rupee Account (NRO). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts, an account debit certificate from the bank issuing the draft confirming the debit will need to be enclosed.

#### c. TDS where ever applicable would be rounded off to the Rupee.

### 17. ELECTRONIC SERVICES

The AMC provides electronic transaction services through its website and over the phone.

- a. KFINKART: Transactions through electronic platform(s) of KFin Technologies Limited (effective from January 2, 2017): Investors will be allowed to transact through https://mfs.kfintech.com/investor/, an electronic platform provided by Kfin Technologies Limited, Registrar & Transfer Agent, in Schemes of Axis Mutual Fund ('Fund') (except Axis Gold ETF and Axis Nifty ETF). The facility will also be available through mobile application i.e. 'KFINKART'.
- b. Online Schedule Transaction Facility ('the OST facility'/ 'the Facility'): The OST facility shall enable Investors to schedule subscription / redemption / switch transaction(s) on specified date for specified amount/ units by giving online instruction.

The terms and conditions of the OST facility shall be as under:

- 1 The Facility is available to the existing Investors of open ended schemes of Axis Mutual Fund (except Axis Gold ETF and Axis Nifty ETF), subject to completion of lock-in, if any.
- 2. The Facility is available only to Individual (including sole proprietor) Investors for units held in/subscription in physical mode.
- 3. The Facility for subscription transaction would be available to Investors after completion of OTM Mandate / equivalent mandate registration process.
- 4. Under the Facility the transaction can be scheduled to be executed on a specified date which shall be within 30 calendar days from the date of the instruction. Such specified date shall be a business day. In case the scheduled transaction date falls on a nonbusiness day, the transaction will be executed on the immediately following business day.
- The Facility shall be available on online transaction platform(s) viz website of Axis AMC i.e. www.axismf.com. Axis AMC may extend the Facility to other transaction platforms from time to time, at its discretion.
- 6. The scheduled transaction may be cancelled by giving suitable instruction atleast one calendar day prior to the scheduled transaction date.
- 7. The triggered transaction on the scheduled date shall be considered as time stamped and will be executed on the specified date at the applicable NAV of the relevant scheme.
- The scheduled transaction(s) shall be subjected to exit load, minimum subscription/ additional subscription application and other terms and conditions of the relevant scheme as per SID applicable on the specified date.
- 9. The scheduled transaction shall be liable to be rejected if sufficient amount is not available for subscription or sufficient number of units / amount is not available for redemption.
- 10. Redemption transactions will not be executed in case units are pledged or where lien is marked on units, at the time of online instruction / on specified date;
- 11. Investors availing this facility shall acquaint themselves with the features of the relevant scheme(s), including any modification / amendments carried out before the specified date.

The above is an additionally provided facility to the Investors to plan their transactions in schemes using online platforms.

- c. Email facility Applicants who provide their email address will receive communication by email. In case an investor wishes to receive a hard copy of an account statement or other document, he/she is requested to submit a request at customerservice@axismf.com or call us on Additional Contact Number: 8108622211 From Monday to Saturday - 9.00 AM to 6:30 PM.
- d. SMS alerts facility Applicants who wish to receive transaction alerts on their mobile phone need to provide their mobile no.
- e. Online investment facility New or existing investors can invest with us online at www.axismf.com. To avail of this facility, applicants are requested to provide both their mobile no. and email address in the spaces provided.
- f. In case mobile no. & email ID is not provided on the application form then it will be capture as per KYC record.
- g. Investors should provide their own email address and mobile number to enable Axis AMC for speed and ease of communication in a convenient and costeffective manner, and to help prevent fraudulent transactions.

### 18. NOMINATION

- a. Nomination is mandatory for all the folios/accounts, where the mode of holding is single. New subscriptions received from individuals where the mode of holding is single without nomination will be rejected.
- b. The nomination can be made only by individuals holding units on their own behalf singly or jointly. Non-Individuals including Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders must sign against the nomination.
- c. Nomination is not allowed for folios/accounts opened in the name of minors.
- d. A minor can be nominated against a folio/account. In such a case, the name and address of the Guardian of the minor nominee must be provided. If no Guardian name is provided, the nomination of the minor will be invalid. The Guardian of the minor nominee should be a person other than the holder of that folio/account. Nomination can also be in favour of the Central Govt, State Govt, a local authority, any person designated by virtue of his office or a religious charitable trust.
- e. The Nominee cannot be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of HUF or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls inforce, from time to time.
- f. Nomination stands rescinded upon transfer of units or cancellation of nomination.
- g. The nomination facility extended under the Scheme is subject to existing laws. The AMC shall, subject to production of such evidence which in their opinion is sufficient, proceed to effect the payment / transfer to the Nominee(s) in the event of demise of the unit holder. Transfer of units / payment to the nominee(s) of the sums shall discharge Axis Mutual Fund / Axis AMC of all liability towards the estate of the deceased unit holder and his / her / their successors / legal heirs.
- h. Cancellation of nomination can only be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. (Please note that if one of the Joint Holders die, the other surviving holders cannot cancel or change the nomination.)
- . Nomination shall be registered only if the form is filled in completely.
- j. Nomination will be updated at folio/account level and not at scheme level.
- k. Nomination can be made for maximum of 3 nominees. In case of multiple nominees, the percentage of allocation / share in favour of each of the nominees should be indicated against their name and such allocation / share should be in whole numbers without any decimals making a total of 100 percent. In the event

of unit holders not indicating the percentage of allocation / share for each of the nominees, the Mutual Fund / the AMC, by invoking default option shall settle the claim equally amongst all the nominees.

- I. The investor(s) who nominate is / are deemed to have read and understood the provisions of Regulation 29 A of SEBI (Mutual Funds) Regulations, 1996, read with SEBI circular dated Feb. 16, 2004 and / or any amendments thereto or any rules / regulations framed in pursuance thereof governing the nomination facility and agree/s to be bound by the same.
- m. Fresh nominee registrations will override older nominations under the folio.
- In case an investor does not wish to nominate for a specific folio / account, he/she should strike off the nomination fields and mention "Nomination not required".
- Even those investors who do not wish to nominate must sign separately confirming their non-intention to nominate.
- p. In case of existing Folio(s) where individual unit holder(s) holding mutual fund units either solely or jointly who have not registered nomination, the folio(s) shall be frozen for debit(s) after March 31, 2023.
- q. In case of investors subscribing to mutual fund units on or after October 1, 2022 under new folios, applications where details of nomination/intention to opt out of nomination, has not been provided, are liable to be rejected.
- r. As mandated under SEBI circular on Ease of doing business, "Non-submission of 'choice of nomination' shall not result in freezing of Demat Accounts as well as Mutual Fund Folios."

### 19. DEMATACCOUNT DETAILS

If you wish to invest in the scheme through Demat you need to have a beneficiary account with a Depositary Participant (DP) of the NSDL/CDSL and specify the same in this Application Form. You must ensure that the sequence of names with other details like address, PAN, etc mentioned under Demat details should match with DP records. Only those applications where the details are matched with the depository data, will be treated as valid application. If the details mentioned in the application are incomplete */incorrect*, or does not match with the depository data, the applicant shall be treated as invalid and shall be liable to be rejected and would be allotted in Physical form. Demat option will be applicable for the applications along with SIP option.

Please attach Client Master List along with application form.

20. Non-profit organization" means any entity or organisation, constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), that is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

### 21. MULTIPLE INVESTMENTS

- Investor can make purchases in up to three schemes within the same Folio by making a single consolidated payment for the investments.
- Multiple Investments facility is not available for Axis Children's Fund, Axis Liquid Fund, Axis Overnight Fund, Axis Gold ETF, Axis Nifty ETF, any closed ended schemes, and during NFO period.
- Cheque/DD/Debit mandate should be drawn for Total Amount of investment in all three schemes.

- 4. The Cheque/DD should be drawn favouring "Axis MF Multiple Schemes".
- In case of payment through a Debit Mandate, please tick "Axis MF Multiple Schemes" only.
- 6. If the total amount of investments mentioned on the application is different from the amount mentioned on the accompanying Cheque / Demand Draft / Debit mandate, then the application is liable to be rejected.
- Please mention all scheme/ plan/ option details in the table in section 7A of the form. If the scheme details are provided in any other format, the application is liable to be rejected.
- Investments will be accepted subject to minimum investment criteria applicable for the schemes opted for investment. Even if one of the schemes specified for investment does not satisfy the minimum investment criteria, the application will be liable to be rejected for all schemes.
- 9. This facility is only available for lumpsum purchases.

### 22. FOREIGN ACCOUNT TAX COMPLIANCE (FATCA)

FATCA & CRS TERMS & CONDITIONS : Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as Axis MF to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our investors and counter parties. In relevant cases, information will have to be reported to tax authorities / appointed agencies.

Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

The onus to provide accurate, adequate and timely inputs in this regard would be that of the investor or counterparty. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that Axis MF will be unable to provide advice to you about any tax status or FATCA/CRS classification relevant to your account. It is your responsibility to ensure that you record your correct tax status / FATCA/CRS classification. You may seek advice from your tax advisor in this regard.

Please note that you may receive more than one request for information if you have multiple relationships with Axis MF or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

### FATCA & CRS INSTRUCTIONS:

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Investors are requested to provide all the necessary information / declarations to facilitate compliance, considering India's commitment to implement FATCA and CRS under the relevant international treaties.

Please consult your professional tax advisor for further guidance on your tax residency, if required.

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS Indicia
U.S. place of birth	<ol> <li>Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;</li> <li>Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below);AND</li> <li>Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth</li> </ol>
Residence/mailing address in a country other than India	<ol> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>Documentary evidence (refer list below)</li> </ol>
Telephone number in a country other than India	<ol> <li>If no Indian telephone number is provided</li> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>Documentary evidence (refer list below)</li> <li>If Indian telephone number is provided along with a foreign country telephone number</li> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR</li> <li>Documentary evidence (refer list below)</li> </ol>
Telephone number in a country other than India	<ol> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>Documentary evidence (refer list below)</li> </ol>

### 23. Submission of Aadhar Number

Pursuant to requirement under Prevention of Money Laundering (Maintenance of Records) Rules, 2005 as amended from time to time, proof of possession of Aadhar can be accepted as a valid document for proof of address or proof of identity of investors, provided the investor redact or blackout his Aadhar number while submitting the applications for investments.

The afores aid guidelines will be subject to change as per the directives issued by the concerned regulatory/government authority from time to time. For further details refer to SAI.

#### 24. E-mail Communication

Investors should ensure that the email id provided is that of First /Sole holder or of their Family member. Family means spouse, dependent children or dependent parents. This email address and mobile no. provided shall be registered in the folio

 for all communications. In case, this section is left blank, the email id and mobile no. of the First / Sole Holder available in the KYC records shall be registered in the folio.
 25. Declaration for Creating New Folio

If Name of the holders, Pan Proof, Bank Mandate, Address, Mode of holding & Nominee are in the same order the transaction will process under existing folio.

### 26. Legal Entity Identifier no updation

RBI vide circular dated January 2021 on "Introduction of Legal Entity Identifier for Large Value Transactions in Centralized Payment Systems" decided to introduce the LEI system for all payment transactions of value INR 50 crore and above for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT) from April 1, 2021. In view of the same it will be mandatory to include 20-digit Legal Entity Identifier (LEI) information while initiating any transaction of value INR 50 crore and above by entities (non-Individual) for purchase and redemption transaction.

### 27. Instructions for SIP & TOP-UP

- Multiple SIP registration facility is not available for Axis Children's Fund, ETF schemes and during NFO.
- Investors are required to submit Form along with a photo copy/cancelled cheque of Debit Bank Account at least 21 days before the first SIP Installment date.
- 3. \*Investor shall have the option of choosing any date. In case the selected date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next business day/date. If SIP debit date is not mentioned default date would be considered as 7th of every month. If the SIP date falls on a non-business day or a bank holiday, the SIP debit will be processed on the following business day. \*\* Will be triggered and processed only on Business Days. # will be triggered and processed on the day opted by the investor. If the day opted falls on non-business day, it will be triggered and processed on the next business day.

4.	Please refer below table for minimum installments:
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	**Daily/#Weekly/ *Monthly			*Yearly			
	Min.	₹   !	∕lin Inst.	Min.₹		Min Inst.	
All schemes, except ETFs & schemes mentioned in tables below.	100		6	1200		0 3	
	**Daily/#					Yea	
	Min.₹	Min Inst.	Min.₹	Min Inst.	Min	.₹	Min Inst.
Axis Overnight Fund & Axis Liquid Fund	1000	6	NA	NA	N/		NA
Axis ELSS Tax Saver Fund*	NA	NA	500	6	600		3
Axis Banking & PSU Debt Fund, Axis Children's Fund, Axis Credit Risk Fund, Axis Dynamic Bond Fund, Axis Equity ETFs FoF, Axis Floater Fund, Axis Gilt Fund, Axis Money Market Fund, Axis Retirement Fund, Axis Silver Fund Of Fund, Axis Silver Fund Of Fund, Axis Silver Fund Of Fund, Axis Sireasury Advantage Fund, Ultra Short Duration Fund	100	6	1000	6	120		3
Axis Nifty AAA Bond Plus SDL Apr 2026 50:50 ETF FoF, Axis CRISIL IBX 50:50 Gilt Plus SDL September 2027 Index Fund, Axis CRISIL IBX 50:50 Gilt Plus SDL June 2028 Index Fund, Axis Nifty SDL September 2026 Debt Index Fund AXIS CRISIL-IBX AAA NBFC INDEX - JUN 2027 FUND & Axis Nifty AAA Bond Financial Services - Mar 2028 Index Fund	ΝΑ	NA	1000	6	NA		NA
Axis CRISIL IBX SDL May 2027 Index Fund, Axis CRISIL IBX 70:30 CPSE Plus SDL April 2025 Index Fund & Axis Long Duration Fund	NA	NA	1000	6	120	00	3

Note: For all schemes, minimum amount is as per above table and thereafter in multiple of ₹ 1. For Axis ELSS Tax Saver Fund Minimum amount is as per above table and

For Axis ELSS Tax Saver Fund Minimum amount is as per above table and thereafter in multiple of ₹500\*.

- OTM end date cannot be more than 40 years form the date of the mandate. 5 If no amount is mentioned minimum SIP installment amount would be considered
- If no amount is mentioned minimum SIP installment amount would be considered.
   For details about the Scheme and its facility please refer the SID, SAI & KIM of the
- respective schemes / Addendum issued from time to time carefully before investing. 7. For daily, weekly and monthly frequency the SIP will be discontinued automatically
- if payment is not received for three successive installments. In case of Yearly frequency, the SIP registration will stand automatically cancelled, in case of 2 consecutive failed debits.
- Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar KFin Technologies Limited. Revised timeline for SIP cancellation is T+2 working days. Any transaction presented before cancellation, shall be processed.
- 9. Mandate will be processed through NACH platform offered by NPCI.
- 10. Investor will not hold Axis Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit/Local/Bank holiday. Axis Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility. The investor assumes the entire risk of using this facility and takes full responsibility.
- Investor can change bank details for SIP by submitting a "CHANGE OF BANK MANDATE - FOR SIP" form available on the website or at any Investor Service Centre along with cancelled cheque of the new bank with the investor's name printed on it.
- 12. TOP-UP Facility: Under this facility the Investor can increase the SIP installment at pre-defined intervals by a fixed amount or any time as per the request. This facility is available for individual investors only. For availing the said facilities, investors are required to note the following:

- Investor willing to register TOP-UP should provide the TOP-UP details along with the SIP enrolment details.
- The application form for availing the SIP Top-up facility should be submitted 21 days before the first SIP instalment date.
- The minimum amount for SIP Top-up facility is Re 1- and in multiples of Re 1/- for all schemes offering SIP facility; except Axis ELSS Tax Saver Fund, where the minimum amount for SIP Top-up is Re 500 and in multiples of Rs 500 thereafter.
- The minimum Top-up percentage is 5% of the SIP amount and in multiples of 5% thereafter for all schemes offering SIP facility. Currently, percentage based SIP Top-up is available for physical mode. The percentage based SIP Top-up is unavailable for Axis ELSS Tax Saver Fund.
- Percentage SIP Top-up would be computed on the immediately preceding SIP instalment amount.
- In case of discrepancy in the Top-Up amount / percentage, SIP will be registered without Top-Up Facility.
- Top-up frequencies available are Half-Yearly/ Yearly/ Dynamic (i.e. as and when requested).
- In case Top-Up frequency is not indicated, it will be considered as Yearly by Default.
- The Top-up date will correspond to the date of registered SIP.
- Top-up will continue till the end of the SIP tenure by default.
- In case of Dynamic Top-up option, the gap between SIP registration and first Top-Up request should be at least 6 months and subsequently the gap between two Top-up requests should be at least 3 months.
- No modification can be made to SIP Top-up frequency / amount / percentage during the SIP tenure. SIP Top-up facility can be discontinued only by cancelling the SIP."
  - Please see the illustration below to know how to calculate SIP Top-Up amount:
  - SIP Starts on 07/May/2016
  - SIP ends on 07/12/2099
  - SIP amount is ₹1000
  - Top-Up amount is₹500
  - Top-Up Frequency is Half-yearly

Top-Up date	SIP Amount (₹)	Top-Up Amount (₹)	New SIP Amount (₹)
7-Nov-2016	1000	500	1500
7-May-2017	1500	500	2000
7-Nov-2017	2000	500	2500
7-May-2018	2500	500	3000

If Investor do not wish to opt for One Time Registration (OTM) Mandate, they can submit SIP NACH Registration Form available on website www.axismf.com with SIP Registration Form.

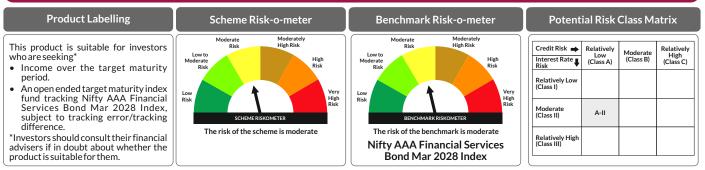
Axis Asset Management Company Limited (Investment Manager)

### **APPLICATION FORM**

### **AXIS NIFTY AAA BOND FINANCIAL SERVICES - MAR 2028 INDEX FUND**

(An open-ended Target Maturity Index Fund investing in constituents of Nifty AAA Financial Services Bond Mar 2028 Index. A moderate interest rate risk and relatively low credit risk)

### Benchmark: Nifty AAA Financial Services Bond Mar 2028 Index



(The product labelling assigned during the New Fund Offer is based on internal assessment of the Scheme Characteristics or model portfolio and the same may vary post NFO when actual investments are made)





### **APPLICATION NO.**

### **AXIS NIFTY AAA BOND FINANCIAL SERVICES - MAR 2028 INDEX FUND**

(An open-ended Target Maturity Index Fund investing in constituents of Nifty AAA Financial Services Bond Mar 2028 Index. A moderate interest rate risk and relatively low credit risk)

**APPLICATION FORM** 

### NFO OPENS: FEBRUARY 27, 2025 AND NFO CLOSES: MARCH 04, 2025

FOR FIRST TIME INVESTORS FOR LUMPSUM INVESTMENTS / SIP INVESTMENTS.

(PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM. ALL SECTIONS TO BE COMPLETED IN ENGLISH IN BLACK/BLUE COLOURED INK & IN BLOCK LETTERS)

ARN	SUB-Distributor ARN	Internal SUB-Broker/Sol ID	EUIN	Employee Code	RIA CODE^	PMR ( Registratio	Portfolio Manager's on) <b>Number ^^</b>	Serial No., & Time St	Date amp
scheme(s) of Axis Mutua Axis Mutual Fund, to th transactions data feed/	al Fund under Direct Plan. I/V ne above mentioned SEBI Re /portfolio holdings/ NAV etc.	sstor to the AMFI registered dis Ve hereby give my/our consent i gistered Investment Adviser. ^ in respect of my/our investmer	to share/provide the tra ^/I/We, have invested hts under Direct Plan of	ansactions data feed/port in the scheme(s) of Axis fall schemes of Axis Mut	folio holdings/NAV etc. in Mutual Fund under Dire Jal Fund, to the above mer	respect of m ct Plan. I/W itioned SEBI	y/our investments ur hereby give my/ou Registered Portfolio	nder Direct Plan of al Ir consent to share/ Manager.	l schemes of provide the
I/We hereby com person of the above of	firm that the EUIN box ha distributor/sub broker or	s been intentionally left bla notwithstanding the advice	nk by me/us as this t of in-appropriatene	ransaction is executed ess, if any, provided by	l without any interaction the employee/relations	on or advice ship manag	eby the employee/ er/sales person of	relationship man the distributor/su	ager/sales bbroker.
You/ Sole Ap	pplicant /Guardian	Second A	Applicant	Th	ird Applicant		Power of A	Attorney Hold	
Unit Holding	Option	Physical Mode Dema	at Mode (in case of I	Demat, please fill sec 7)					
01 🔒   M	1Y DETAILS (To be f	illed in Block Letters. Please p	provide the following	details in full)	(In case of inves	tment "On I	ehalf of minor", Ple	ease refer instruction	on No. 11)
Existing folio num	nber				I/ We want t	o create n	ew Folio (Instruct	ion No. 26)	
My Name (Should r	match with PAN Card)					P	AN/PEKRN (1st /	Applicant)	КҮС
My Guardian's Na	ame (if minor)/POA/Con	tact Person (For Non-indiv	iduals)				AN/PEKRN (Gua	rdian/POA)	КҮС
On behalf of Mind	<b>or</b> (*Attach Mandatory Doc	uments as per instructions)	Date of Bir	th Minor's		V V	Date of F	Birth Proof attac	hed*
Guardian named i	. ,	other Court Appoi			Guardian	named is			
		S (IF ANY) DETAIL						<u>,</u>	
Mode of Operation 2nd Applicant Na	on Single J I <b>me</b> (Should match with PA		rvivor(s) [Default]	(Joint applicant det	ails not to be filled in		nor investments <b>AN/PEKRN</b> (Seco		КҮС
3rd Applicant Na	me (Should match with PA	N Card)				P	AN/PEKRN (Thir	d applicant)	КҮС
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Address City			State				Pin Code		
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City Add overseas add  City Email ID and Mobile n No	umber should pertain to First	FII Applicants)	Country	Email ID (CAPITAL letters only) pouse Dependent C	hildren Dependent	Siblings		ts Guardian	
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City Add overseas add  City Email ID and Mobile n Mobile Toleclare that Email I declare that Mol	umber should pertain to First ail address provided in this for bile Number provided in this f	FII Applicants) FII Applicants) Holder only. Tel No. m belongs to (tick any one): orm belongs to (tick any one)	Country and approve for SelfSF and approve for and approve for	CAPITAL letters only pouse Dependent C r usage of these contact d pouse Dependent C	etails for any communicati	on with Axis Siblings	Pin Code Dependent Paren Mutual Fund. Dependent Parer		
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05	S   MY INVESTMENT DETA	IL3			(10)	investments, Please	refer instruction No. 1 & 21
	DD should be in favour of "Scheme Name". Defa drawn favouring "Axis MF Multiple Schemes"	ault plan/Optio	n will be applied incase of no inform	ation, ambiguity or o	discrepancy). If the investmen	t is in multiple sche	mes. "The Cheque/ DD
	Full Scheme/Plan/Option		Amount/Each SIP Amount	Frequency	SIP Period	(Ontional) O	<b>DP-UP Facility</b> Inly available for Monthly SIP
			₹		Start Date	M Top-Up	
Plan	Regular Direct		Less DD		ММҮҮҮҮ	%	
			charges	3B Monthly	End Date	(in multiple of 5 onl	in words
Scheme Name			SIP Date Refer Inst. 1	(default)	ММҮҮҮ	Half Year	ly
			D D (1 <sup>st</sup> to 28 <sup>th</sup> )		Maximum Duration	Yearly	·
Option			Last date of month		of 40 years		Dynamic TOP-UP
			₹		Start Date	🗌 % Top-Up	Fixed Amount
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			D D (1 <sup>st</sup> to 28 <sup>th</sup> )		Maximum Dumatian	Yearly	
Option			Last date of month		Maximum Duration of 40 years		Dynamic TOP-UP
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Option			Last date of month		Maximum Duration of 40 years	· ·	Vnamic TOP-UP
In case o	f multiple selection, SIP Top Up will be registere	d with 'Fixed A	mount mentioned'.		,		
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	Payment through NACH (Attach NACH for		OTM Reference No.	Deals Contificant			late are registered)
Paymer	OR Documents attached to avoid Third nt Details	a Party Paym	ent Rejection, if applicable:	Bank Certificat		y Declarations	
	eque Date D D M M Y Y	ΥΥΑ	nount		CI	neque No.	
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\*Name and Signature of Holder

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	NOMINATION DETAI	LS (CONTD.)				(For nominat	ion, Please refer in	struction No. 18)
ANNEXUR	E - B	Declara	tion for Optir	ng-out of Nomination	n			
and further are a	by confirm that I / We do not w aware that in case of death of a lemat account, which may also	II the account holder(s), my	/our legal heirs wo	ould need to submit all the req	uisite document	s/information	for claiming of as	ssets held in my
*Name and Signature of	First Unithold			nd Unitholder Name			nitholder Name	
Holder	First Unitholder	Signature	Second	Unitholder Signature		Third Unit	holder Signatur	e
*Witness Nameand addressare required, Witness Signatureare required, Witness Signature								
07 🛞   1	DEPOSITORY ACCOL	JNT DETAILS (Option	al. To be filled if inves	stor wishes to hold the units in D	Demat mode).	(For DEMAT det	ails Please refer in	struction No. 19)
(Please ensure th	hat the sequence of names as	mentioned in the applicati	on form matches v	vith that of the A/c held with	the depository	participant) Re	fer Instruction N	√o. 19.
Deposi NSDL:	tory Participant Name				DP ID:	IN		
	ciary Ac No.							
CDSL:	tory Participant Name							
	ciary Ac No.							
Enclosed	Client Master Trans	action / Statement Copy ,	DIS Copy					
<mark>08</mark>	KNOW YOUR CUSTO	MER (KYC) DETAIL	.S (Please Tick ✓/ Sp	pecify.)		(For H	(YC details. Refer I	nstruction No. 8)
Tax Status det	ails for 1st Applicant 2r	nd Applicant 3rd Applicar	nt Guardian	Occupation details for	1st Applicant	2nd Applicant	3rd Applicant	Guardian
Resident Indiv	idual			Private Sector				
NRI/PIO/OCI				Public Sector				
Sole Proprieto	rship		-	Government Service				
Minor through			-	Business				
Non Individual	Company Company	Body Corporate	Partnership Bank	Professional				
Non marviada		FI FII	FPI	Agriculturist Retired				
	In case of No	n-Profit Entity (refer poir	it no 21)	Housewife				
Others (Pleases	specify)		_	Student				
for religious or cl	der "Non-Profit Organization" [N haritable purposes referred to i	n clause (15) of section 2 of	the LITES	Others (Please specify)				
Societies Registra	961 (43 of 1961), and is registere ition Act, 1860 (21 of 1860) or ed under the section 8 of the Con	any similar State legislation	or a NO	Politically Exposed Perso	on (PEP) details	ls a PEP	Related to PEP	Not Applicable
	e Registration No. of Darpan port			1st Applicant				
				2nd Applicant				
	Income Range (in ₹)			3rd Applicant				
Below 1 lac 5-10 lac		1-5 lac		Guardian				
25 lac- 1 cr		1-5 cr		Authorised Signatories				
5 - 10 cr		> 10 cr		Promoters Partners				
OR Networth (Mandatory fo				Karta				
Individual) (not than 1 year)		as on as on	as on	Whole-time Directors/Te	urstee			
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				ave to issue a cheque if you hold are under client code "AXISMF"	l (Fo	r Debit mandate	Please refer instru	action No. 5 & 21)
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	Signature of First Account Holder		-	ture of ount Holder		-	ature of count Holder	
Date* D D	M M Y Y Y Y	*Date is mandatory						
		EDGEMENT SLI	P				APPLICAT	ION NO.
Received from								
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Amount		Cheque/DD No.	·	Date D D	M M Y Y	YY		

Bank & Branch details	
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### 13 🔚 | QUICK CHECKLIST

KYC acknowledgement letter (Compulsory for MICRO Investments)	Self attested PAN card copy	Plan / Option / Sub Option name mentioned in addition to
scheme name 🗌 Multiple Bank Accounts Registration form (if you war	nt to register multiple bank accounts	so that future payments can be made from any of the accounts)
Email id and mobile number provided for online transaction facility	SIP Registration Form for SIP inves	tments 🗌 Relationship proof between guardian and minor (if
application is in the name of a minor) 🗌 FATCA Declaration 🗌 Addition	onal documents attached for Third Pa	rty payments. Refer instruction No. 7.



Axis MF App



A www.axismf.com https://www.axismf.com/ corporate/Login.aspx





Facebook.com/AxisMutualFund
 X.com/AxisMutualFund
 Linkedin.com/company/Axis-Mutual-Fund
 YouTube.com/AxisMutualFund



### APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM AXIS NIFTY AAA BOND FINANCIAL SERVICES - MAR 2028 INDEX FUND

(An open-ended Target Maturity Index Fund investing in constituents of Nifty AAA Financial Services Bond Mar 2028 Index. A moderate interest rate risk and relatively low credit risk)

### NFO OPENS : FEBRUARY 27, 2025 AND NFO CLOSES : MARCH 04, 2025

BROKER/AGENT INFORMATION FOR OFFICE USE C	ONLY
Name and AMFI Regn No.         SUB-Distributor ARN         EUIN         PMR (Portfolio Manager's Registration) Number ^^         SCSB         SCSB IFSC Code         Syndical	te Member Code SI No.
Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered be scheme(s) of Axis Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investment Adviser. ^^ I/We, have invested in the scheme(s) of Axis Mutual Fund under Direct Plan. I/We hereby give my/our investment adviser. ^^ I/We, have invested in the scheme(s) of Axis Mutual Fund under Direct Plan. I/We hereb transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Axis Mutual Fund, to the above mentioned SEBI Registered Investments under Direct Plan of all schemes of Axis Mutual Fund, to the above mentioned SEBI Registered Investments under Direct Plan of all schemes of Axis Mutual Fund to the above mentioned SEBI Registered Investments under Direct Plan of all schemes of Axis Mutual Fund to the above mentioned SEBI Registered Investments under Direct Plan of all schemes of Axis Mutual Fund to the above mentioned SEBI Registered Investments under Direct Plan of all schemes of Axis Mutual Fund to the above mentioned SEBI Registered Investments under Direct Plan of all schemes of Axis Mutual Fund to the above mentioned SEBI Registered Investments under Direct Plan of all schemes of Axis Mutual Fund to the above mentioned SEBI Registered Investments under Direct Plan of all schemes of Axis Mutual Fund to the above mentioned SEBI Registered Investments under Direct Plan of all schemes of Axis Mutual Fund to the above mentioned SEBI Registered Investments under Direct Plan of all schemes of Axis Mutual Fund to the above mentioned SEBI Registered Investments under Direct Plan of all schemes of Axis Mutual Fund to the above mentioned SEBI Registered Investments under Direct Plan of all schemes of Axis Mutual Fund to the above mentioned SEBI Registered Investments under Direct Plan	estments under Direct Plan of all schemes of give my/our consent to share/provide the
I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sale	
You/ Sole Applicant / Guardian Second Applicant Third Applicant P	ower of Attorney Holder
NAME OF FIRST APPLICANT (Name should be as available in Demat Account)	
Mr. Ms. M/s.	
Folio Number [ (If you have an existing folio number, please mention the number here)	
DEPOSITORY ACCOUNT DETAILS	
Depository Name National Securities Depository Ltd. Central Depository Services [India] Limited	
DP-ID Beneficiary Account Number	
PAN DETAILS (Permanent Account Number)	
1st applicant   2nd applicant   3rd applicant	
INVESTMENT DETAILS	
Scheme Plan Option	Amount
Regular Direct	
DETAILS OF BANK ACCOUNT FOR BLOCKING OF FUNDS	
Bank Account Number Bank Name	
Branch Name where account is held	
Total Amount to be blocked In figures in words	
Total Amount to be blocked In figures in words	
Total Amount to be blocked In figures in words CONTACT DETAILS - FIRST APPLICANT/GUARDIAN/CORPORATE (PO Box address is not sufficient. Mobile number online facility.)	and email is mandatory to avail
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CONTACT DETAILS - FIRST APPLICANT/GUARDIAN/CORPORATE       (PO Box address is not sufficient. Mobile number online facility.)         Contact Person (In case of non Individual Investor)	APPLICATION NO.

### UNDERTAKING BY ASBA INVESTOR

1) I/We hereby under take that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements.) Regulation 2009 ('SEBI Regulation') as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSBASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/Axis Mutual Fund on receipt of instructions from its Registrar and Transfer Agent after the allotment of the Units entiting me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent after the bank account specified in the application, upon allotment of Units and to transfer to block on the funds in the bank account specified in the application. 4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the Axis Mutual Fund or Axis Asset Management Company Limited or SCSBs shall not be liable for losse, if any. All future communication in connection with NFO should be addressed to the SCSB/RTA/AMC quoting the full name of the Sole/First Application Number, ASBA Application Number, Depository Account details [If it has been provided]. Amount applied for and the account number from where NFO amount was blocked.

### **DECLARATION AND SIGNATURES**

Having read and understood the content of the SID / SAI of the scheme, I / we hereby apply for units of the scheme. I have read and understood the terms, conditions, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate source only and does not involve designed for the purpose of the applicable laws enacted by the Government of India from time to time. I/We have understood the details of the Scheme & I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belongs to me/us. In event "Know Your Customer" process is not completed by me/us to the satisfaction of the Mutual Fund, (I / we hereby authorize the Mutual Fund, to redeem the funds invested in the Scheme, legally belongs to me/us. In event "Know Your Customer" process is not completed by me/us to the satisfaction of the Mutual Fund, (I / we hereby authorize the Mutual Fund, to redeem the funds invested in the Scheme, legally belongs to me/us. In event "Know Your Customer" process is not the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. For NRIs only - 1 / We confirm that I am/ we are Non Residents of Indian nationality / origin and that I / We have remitted funds from abroad through approved banking channels or from funds in my/ our Non Resident External / Non Resident Ordinary / FCNR account. I / We confirm that details provided by me / us are true and correct.

Signature of Bank Account Holders

### INSTRUCTIONS FOR FILLING ASBA APPLICATION FORM

### 1. MEANING OF ASBA

ASBA is an application for subscribing to a New Fund Offer (NFO), containing an authorisation to block the application money in a bank account.

### 2. SELF CERTIFIED SYNDICATE BANK (SCSB)

SCSB is a banker to an issue registered with the SEBI which offers the facility of applying through the ASBA process. The list of SCSBs will be displayed by SEBI on its website at www.sebi.gov.in from time to time. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs displayed on SEBI's website. As on April 15, 2010,27 Banks have been recognised as SCSBs. Investors maintaining their accounts in of any of these Banks may approach one of the designated branches of these SCSBs for availing this facility. Further it may be noted that from time to time new banks register themselves as SCSBs who become eligible to provide these services and also the existing SCSBs designate additional branches that also provide this facility. An updated list of all the registered SCSBs, their controlling branches, contact details and details of their contact persons, a list of their designated branches which are providing such services is available on the website of SEBI at the address http://www.sebi.gov.in. Further these details are also available on the websites of the Stock Exchanges at http://www.bseindia.com and http://www.nseindia.com. Alternatively, investors may also contact the AMC, R& TA for information about the SCSBs or the ASBA process. These SCSBs are deemed to have entered into an agreement with the Issuer and shall be required to offer the ASBA facility to all its account holders for all issues to which ASBA process is applicable. A SCSB shall identify its Designated Branches (DBs) at which an ASBA bidder shall submit ASBA and shall also identify the Controlling Branch (CB), which shall act as a coordinating branch for the Registrar to the Issue, Stock Exchanges and Merchant Bankers. The SCSB, its DBs and CB shall continue to act as such, for all issues to which ASBA process is applicable. The SCSB may identify new DBs for the purpose of ASBA process and intimate details of the sarne to SEBI. after which SEBI wi II add the DB to the list of SCSBs maintained by it. The SCSB shall communicate the following details to Stock Exchanges for making it available on their respective websites. These details shall also be made available by the SCSB on its website: (i) Name and address of the SCSB (ii) Addresses of DBs and CB and other details such as telephone number, fax number and email ids. (iii) Name and contact details of a nodal officer at a senior level from the CB.

### 3. ELIGIBILITY OF INVESTORS

An Investor shall be eligible to apply through ASBA process, if he/she: (i) is a Resident Retail Individual Investor, Non Institutional Investor, QIBs, Eligible NRIs applying on non-repatriation basis, Eligible NRIs applying on repatriation basis i.e. any investor, (ii) is applying through blocking of funds in a bank account with the SCSB; Such investors are hereinafter referred as "ASBA Investors".

### 4. ASBA FACILITY IN BRIEF

Investor shall submit his Bid through an ASBA cum Application Form, either in physical or electronic mode, to the SCSB with whom the bank account of the ASBA Investor or bank account utilised by the ASBA Investor ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA cum Application Form, physical or electronic, on the basis of an authorisation to this effect given by the account holder at the time of submitting the Application. The Bid Amount shall remain blocked in the aforesaid ASBA Account until the Allotment in the New fund Offer and consequent transfer of the Application Amount against the allocated Units to the Issuer's account designated for this purpose, or until withdrawal/failure of the ASBA data shall thereafter be uploaded by the SCSB in the electronic IPO system of the Stock Exchanges. Once the Allotment is finalised, the R&TA to the NFO shall send an

appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts and for transferring the amount allocable to the successful ASBA Bidders to the

AMC account designated for this purpose. In case of withdrawal/Rejection of the Offer, the R&TA to the Offer shall notify the SCSBs to unblock the blocked amount of the ASBA Bidders within one day from the day of receipt of such notification.

### 5. OBLIGATIONS OF THE AMC:

AMC shall ensure that adequate arrangements are made by the R&TA for the NFO to obtain information about all ASBAs and to treat these applications similar to non-ASBA applications while allotment of Units, as per the procedure specified in the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009.

Investors are requested to check with their respective banks about the availability of the ASBA facility.

### 6. OTHER INFORMATION FOR ASBA INVESTORS:

- 1. SCSB shall not accept any ASBA after the closing time of acceptance on the last day of the NFO period.
- 2. SCSB shall give ASBA investors an acknowledgment for the receipt of ASBAs.
- 3. SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.
- SCSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.
- 5. SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.
- 6. R&TA to the NFO shall act as a nodal agency for redressing complaints of ASBA and non-ASBA investors, including providing guidance to ASBA investors regarding approaching the SCSB concerned.

#### Grounds for rejection of ASBA applications

ASBA application forms can be rejected by the AMC/Registrar/SCSBs, on the following technical grounds:

- 1. Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
- 2. Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.
- 3. ASBA Application Form without the stamp of the SCSB.
- 4. Application by any person outside India if not in compliance with applicable foreign and Indian laws.
- 5. Bank account details not given/incorrect details given.
- Duly certified Power of Attorney, if applicable, not submitted alongwith the ASBA application form.
- 7. No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.
- $8. \ \ Insufficient funds in the investor's account.$
- 9. Application accepted by SCSB and not uploaded on/with the Exchange / Registrar.

# FOR NON-INDIVIDUALS - SUPPLEMENTARY KNOW YOUR CLIENT (KYC), FATCA, CRS & ULTIMATE BENEFICIAL OWNERSHIP (UBO) SELF CERTIFICATION FORM

Name of the entity								
Type of address given at KRA	Residential or Business Residential Business Registered Office							
	"Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes"							
Folio Numbe	r Folio Number Folio Number							
PAN	Date of incorporation         D         D         M         Y         Y         Y							
City of incorporation	Country of incorporation     Image: Country of incorporation							
Entity Constitution Type (Please ü as appropriate)       Partnership Firm       HUF       Private Limited Company       Public Limited Company       Society       AOP/BOI       Trust         (Please ü as appropriate)       Liquidator       Limited Liability Partnership       Artificial Juridical Person       Others       Specify								
Is "Entity" a tax resident of ar	v country other than India Ves No							

a tax resident of any country other than india	TES INU

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Country	Tax Identification Number *	Identification Type (TIN or Other, please specify)

<sup>\*</sup>In case Tax Identification Number is not available, kindly provide its functional equivalent\$. In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

ADDITIONAL KYC INFORMATION											
Gross Annual Income (₹)	Below 1 Lac 1 - 5 Lacs 5 - 10 Lacs 10 - 25 Lacs > 25 Lacs - 1 Crore > 1 Crore										
OR Net-worth (Mandatory for Non-Individuals)	₹ as on D D M M Y Y Y Y (Not older than 1 year										
Politically Exposed Person (PEP) Status* (Also Karta/ Trustee/ Whole time Directors)	applicable for authorised signatories/ Promoters/										
	been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, ilitary officers, senior executives of state owned corporations, important political party officials, etc.										

FATCA & CRS Declaration (Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

F OR C (p	Ve are a, inancial institution <sup>6</sup> Direct reporting NFE <sup>7</sup> Dease tick as appropriate)	GIIN	ponsoring entity		Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below
(plea	N not available <sup>se ü as applicable)</sup> ne entity is a financial ir	nstitution	<ul> <li>Applied for</li> <li>Not obtaine</li> </ul>	d - Nor	Not required to apply for - please specify 2 digits sub-category <sup>10</sup>
PA	$\mathbf{RT}\mathbf{B}$ (please fill any o	one as appro	opriate "to be f	illed by	by NFEs other than Direct Reporting NFEs")
1	Is the Entity a publicly t whose shares are reg securities market)				
2	Is the Entity a related ent company whose shar	es are regi			
	established securities ma	arket)			Name of listed company
					Nature of relation: Subsidiary of the Listed Co. or Controlled by a Listed Co.
					Name of stock exchange
3	Is the Entity an active <sup>3</sup> N	FE			Yes Nature of Business
					Please specify the sub-category of Active NFE (Mention code-refer 2c of Part D)
4	Is the Entity a passive <sup>4</sup> N	FE			Yes Nature of Business

UBO Declaration (M	andatory for a	all entiti	es ex	cept	, a Pu	blicly	Trad	led C	Compa	any oi	' a rel	late	d ent	ity o	f Pu	blic	y Tra	ded C	ompa	ny)							
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Nationality																											
Father's Name																			+								

Percentage of Holding (%)^ # Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India:

Others

\* To include US, where controlling person is a US citizen or green card holder

%In case Tax Identification Number is not available, kindly provide functional equivalent

Male

^Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary <sup>4</sup>Refer 3(iii) of Part C | <sup>11</sup>Refer 3(iv) (A) of Part C

Female

Gender

Date of Birth

### FATCA - CRS Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Male

E Female

Others

Male

Female

Others

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with Axis Mutual Fund or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

\$It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

### CERTIFICATION

I/We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/ us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA& CRS Terms and Conditions below and hereby accept the same.

Name																				
Designation																				
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### **PART C FATCA Instructions & Definitions**

- 1 Financial Institution (FI) The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.
  - Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
  - Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributale to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of
    - (i) The three financial years preceding the year in which determination is made; or
    - (ii) The period during which the entity has been in existence, whichever is less.
  - Investment entity is any entity:
  - That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
    - Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
    - (ii) Individual and collective portfolio management; or
    - (iii) Investing, administering or managing funds, money or Fnancial asset or money on behalf of other persons;
      - OR
  - The gross income of which is primarily attributable to investing, reinvesting, or trading in Fnancial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a speciFed insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in Financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:

- The three-year period ending on 31 March of the year preceding the year in which the determination is made;
   OR
- (ii) The period during which the entity has been in existence.
  - The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 refer point 2c.)
- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.
- FI not required to apply for GIIN:
- A. Reasons why FI not required to apply for GIIN:

Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation RetirementFund; a Narrow Participation RetirementFund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers& Executing Brokers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

### 2. Non-financial entity (NFE) - Foreign entity that is not a financial institution Types of NFEs that are regarded as excluded NFE are:

a. Publicly traded company (listed company)

A company is publicly traded if its stock are regularly traded on one or more established securities markets

(Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of sharestraded on the exchange)  Related entity of a publicly traded company The NFE is a related entity of an entity of which is regularly traded on an established securities market;

### c. Active NFE: (is any one of the following):

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE that fulfills all of the following requirements:
	<ul> <li>It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;</li> </ul>
	• It is exempt from income tax in India;
	<ul> <li>It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;</li> </ul>
	The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non- charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and
	The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.
	Explanation For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-
	(I) an Investor Protection Fund referred to in clause (23EA);
	(II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and
	(III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

### 3. Other definitions

### (i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

### (ii) Passive NFE

The term passive NFE means

- any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or
- (ii) an investment entity defined in clause (b) of these instructions

(iii) a withholding foreign partnership or withholding foreign trust;
 (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

- (iii) Passive income
  - The term passive income includes income by way of:
  - (1) Dividends,
  - (2) Interest
  - (3) Income equivalent to interest,
  - (4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
  - (5) Annuities
  - (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
  - (7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,
  - (8) The excess of foreign currency gains over foreign currency losses
  - (9) Net income from swaps
  - (10) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entitythat regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005.In the case of a trust, the controlling person means the settl or, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of alegalar rangement other than a trust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

- More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
- ii. More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Controlling Person Type:

	<u> </u>
Code	Sub-category
01	CP of legal person-ownership
02	CP of legal person-other means
03	CP of legal person-senior managing official
04	CP of legal arrangement-trust-settlor
05	CP of legal arrangementtrust-trustee
06	CP of legal arrangementtrust-protector
07	CP of legal arrangementtrust-beneficiary
08	CP of legal arrangementtrust-other
09	CP of legal arrangement—Other-settlor equivalent
10	CP of legal arrangement—Other-trustee equivalent
11	CP of legal arrangement—Other-protector equivalent
12	CP of legal arrangement—Other-beneficiary equivalent
13	CP of legal arrangement—Other-other equivalent
14	Unknown

(v) Specified U.S. person - A U.S person other than the following:

- a corporation the stock of which is regularly traded on one or more established securities markets;
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;

- (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- (xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

#### (vi) Owner documented FFI

An FFI meets the following requirements:

- (a) The FFI is an FFI solely because it is an investment entity;
- (b) The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;
- (c) The FFI does not maintain a financial account for any non participating FFI;
- (d) The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- (e) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.
- (vii) Direct reporting NFE

A direct reporting NFFE means a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

(viii) Exemption code for U.S. persons

n) Exempti	on code for U.S. persons
Code	Sub-category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
В	The United States or any of its agencies or instrumentalities
С	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section $1.1472-1(c)(1)(I)$
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section $1.1472-1(c)(1)(I)$
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
Н	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
К	Abroker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1) $$
М	A tax exempt trust under a section 403(b) plan or section 457(g) plan
-	

# **ONE TIME MANDATE (OTM) FORM**

- $One Time \, Mandate\, (OTM) \, is a \, common \, application \, for \, registration \, of \, mandate \, centrally \, and \, not \, being \, folio \, specific.$ •
- OTM registration will be PAN Based and will be available for investment in all folios available with Axis Mutual Fund for Multiple SIPs and additional purchases.
- One Time Mandate is only available to HUFs, Proprietor Firms and individual investors with "Single" or "Either or Survivor" mode of holding.

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### INSTRUCTIONS FOR ONE TIME MANDATE

- 1. One Time Mandate is currently available to HUFs, Proprietor Firms and individual investors with "Single" or "Either or Survivor" the mode of holding.
- 2. OTM end date cannot be more than 40 years form the date of the mandate.
- 3. The end date mentioned on SIP application should be equal to or, less than the end date mentioned on SIP NACH/OTM. If SIP end date exceeds from the date mentioned on the NACH/OTM then, SIP will be registered as per NACH/OTM end date.
- 4. Registration of One Time Mandate will take 21 days from the date of submission of form.
- 5. Mandate will be processed through NACH platform offered by NPCI.
- 6. "National Automated Clearing House (NACH)" is Direct Electronic Debit mode implemented by National Payments Corporation of India (NPCI), list of banks is available on NPCI website www.npci.org.in. The said list is subject to modifications. The investor agrees to abide by the terms and conditions of NACH Debit/ECS of Reserve Bank of India/Banks.
- 7. In case the Mandatory fields on the Mandate are not filled, the mandate will be rejected.
- 8. Maximum Amount: The MAXIMUM AMOUNT is the per transaction maximum limit. As investor can register for multiple SIPs with one time NACH mandate. The transaction amount should not exceed the maximum amount mentioned in the NACH mandate. It is suggested to choose a higher amount to commence additional investments in future or to absorb Top-Up increments.
- 9. Axis One Time Mandate cannot be utilized for Liquid schemes, ETF schemes, closed ended schemes, and during NFO period.
- 10. The Investor/s shall not hold the AMC liable for the following:
  - For any transaction using the Facility carried out in good faith by the AMC on instructions of the Investor/s.
  - For unauthorized usage/ unauthorized transactions conducted by using the Facility.
  - For any loss or damage incurred or suffered by the Investor/s due to any error, defect, failure or interruption in the provision of the Facility arising from or caused by any reason whatsoever.
  - For any negligence/mistake or misconduct by the Investor/s.
  - · For any breach or non-compliance by the Investor/s of the rules/ terms and conditions stated in the Scheme Information Document.
  - For not verifying the identity of the person giving the telephone instructions in the Investor/s name.
  - For not carrying out any such instructions where the AMC has reason to believe (which decision of the AMC the Investor/s shall not question or dispute) that the
    instructions given are not genuine or are otherwise improper, unclear, vague or cause for doubt.
  - For carrying out a transaction after such reasonable verification as the AMC may deem fit regarding the identity of the Investor/s.
  - In case of error in NAV communication.
  - For accepting instructions given by any one of the Investor/s or his/her authorized person.
- 11. Axis Asset Management Company Limited (AMC) has the right to ask such information (Key Information) from the available data of the Investor/s before allowing him/ her access to avail the One Time Mandate facility (the Facility). If for any reason, the AMC is not satisfied with the replies of the Investor/s, the AMC has at its sole discretion the right of refusing access without assigning any reason/s to the Investor/s.
- 12. It is clarified that the Facility is only with a view to accommodate / facilitate the Investor/s and offered at the sole discretion of the AMC. The AMC is not bound and/or obliged in any way to give access to the Facility to Investor/s.
- 13. The Investor/s shall check his/ her account records carefully and promptly. If the Investor/s believes that there has been a mistake in any transaction using the Facility, or that an unauthorized transaction has been effected, the Investor/s shall notify the AMC immediately. If the Investor/s defaults in intimating the discrepancies in the statement within a period of fifteen days of receipt of the statements, he waives all his rights to raise the same in favor of the AMC, unless the discrepancy/ error is apparent on the face of it. By opting for the facility the Investor/s hereby irrevocably authorizes and instructs the AMC to act as his/ her agent and to do all such acts as AMC may find necessary to provide the Facility.
- 14. The Investor/s shall at all times be bound by any modifications and/ or variations made to these Terms and Conditions by the AMC at their sole discretion and without notice to them.
- 15. The Investor/s agrees and confirms that the AMC has the right to ask the Investor/s for an oral or written confirmation of any transaction request using the Facility and/ or any additional information regarding the Account of the Investor/s.
- 16. The Investor/s agrees and confirms that the AMC may at its sole discretion suspend the Facility in whole or in part at any time without prior notice.
- 17. The Investor/s shall not assign any right or interest or delegate any obligation arising herein.
- 18. The Investor/s shall take responsibility for all the transactions conducted by using the Facility and will abide by the record of transactions generated by the AMC. Further, the Investor/s confirms that such records generated by the AMC shall be conclusive proof and binding for all purposes and may be used as evidence in any proceedings and unconditionally waives all objections in this behalf.
- 19. The Investor/s agrees that use of the Facility will be deemed acceptance of the Terms and Conditions and the Investor/s will unequivocally be bound by these Terms and Conditions.



SIP Scheme 3

# SIP REGISTRATION FORM

for first time investors, submit Common Application form along with this form.

Distributor ARN	SUB-Distributor	Internal SUB-Broker/Sol ID	EUIN	Employee Code			IA DE^		R (Portfolio Manager's ration) Number ^^	Serial No., Date & Time Stamp
scheme(s) of Axis M Axis Mutual Fund, transactions data f	lutual Fund under Direct Plan. I/ to the above mentioned SEBI R eed/portfolio holdings/ NAV etc confirm that the EUIN box h	estor to the AMFI registered di We hereby give my/our consent egistered Investment Adviser. / in respect of my/our investment as been intentionally left bla r notwithstanding the advice	to share/provide the trans ^/I/We, have invested in hts under Direct Plan of al ink by me/us as this tra	actions data feed/po the scheme(s) of Ax Ischemes of Axis Mi nsaction is execut	ortfolioh dis Mutu utual Fur ed with	olding al Fund nd, to th out ar	s/NAV etc. in r d under Direc ne above men ny interactio	respect o ct Plan. I tioned S n or adv	of my/our investments und I/We hereby give my/our EBI Registered Portfolio I vice by the employee/ r	er Direct Plan of all schemes of consent to share/provide the <u>Manager.</u> elationship manager/sales
You/ Sole	e Applicant /Guardian	Second /	Applicant		Γhird A	Appli	cant		Power of A	ttorney Holder
(If you have an existir	YESTOR'S FOLIO NUMBE ag folio with KYC validated, please me	ention here)	number							
Your Name (as	in PAN Card / KYC records		Applicant is minor) / Con	tast Parson Dasis	mation	DeAl		and of h	Non-individual Investors	
Your PAN	uardian Mr. Ms. M	2nd Holde		itact Person - Desig	, nation /	PUAT	3rd Hol			
D		ANDATE BELOW, IF gister Axis One Time Mar								
XIS MUTUAL FUND	UMRN		Bank use					]	Date D	D M M Y Y Y
ck ( 🗸 )	Sponsor Bank Code	Bank use	)	Utility Code					Bank use	
	I/We hereby authorize	Axis Mutual	Fund	to debit (tick $\checkmark$	)	SB	CA	CC	SB-NRE SB-N	RO Other
DIFY X NCEL X	Bank a/c number									
Bank	Name of custome	rs bank	IFSC						or MICR	
mount of Rupe	es		In Words						₹	In Figures
DUENCY	Mthly 🗙 Otly 🗴	H-Yrly 🗙 Yrly 🗸	As & when prese	nted		DEB	IT TYPE	XF	ixed Amount 🛛 🖌	Maximum Amount
erence 1		PAN No.		Phone No.						
erence 2		mes of Axis Mutual Fund e bank whom I am authorizing to	dohit my accounts as nor	Email ID	arges of	tho ha	nk			
rom	PERIOD         0       M       Y       Y       Y         0       M       M       Y       Y       Y         0       M       M       Y       Y       Y         0       M       M       Y       Y       Y         0       M       M       Y       Y       Y         0       M       M       Y       Y       Y         0       M       M       Y       Y       Y         0       M       M       Y       Y       Y         0       M       M       Y       Y       Y         0       M       M       Y       Y       Y         of validity of this mandat       anentioned over       anentioned over         am authorized to cancel / amend	Y Signature	Primary Account hole as in bank records derstood & made by me / u mmunicating the cancella V/c number (core ba unt holder signature (	der S 2 us. I am authorizing th tion / amendment reg	Signatu Name ne User E uest to t	re of as in intity / he Use	Account ho bank reco Corporate to o r entity / Corp	rds Jebit my orate or	Nar account, based on the ins the bank where I have aut	horized the debit.
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| *Investor shall have the option<br>Day or on a date which is no<br>immediate next business day<br>considered as 7th of every mor<br>debit will be processed on the<br>Business Days. # will be trigg<br>falls on non-business day, it wil                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | te exceeds from<br>M end date.<br>mit Form along<br>the first SIP Ins<br>of choosing any<br>t available in a<br>vi/date. 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If the SIP da<br>f following busi<br>the triggered an<br>nimum installm<br>**Daily/#                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     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| *Investor shall have the option<br>Day or on a date which is no<br>immediate next business day<br>considered as 7th of every mor<br>debit will be processed on the<br>Business Days. # will be trigg<br>falls on non-business day, it wil<br>Please refer below table for mi                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | te exceeds from<br>M end date.<br>mit Form along<br>e the first SIP Ins<br>of choosing any<br>//date. 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| *Investor shall have the option<br>Day or on a date which is no<br>immediate next business day<br>considered as 7th of every mor<br>debit will be processed on the<br>Business Days. # will be trigg<br>falls on non-business day, it wil<br>Please refer below table for mi<br>All schemes, except ETFs                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | te exceeds from<br>M end date.<br>mit Form along<br>the first SIP Ins<br>of choosing any<br>t available in a<br>//date. If SIP d<br>following busis<br>following busis<br>tollowing busis<br>tollowing busis<br><b>tollowing busis</b><br><b>tollowing busis</b> | with a ph<br>stallment d<br>date. In ca<br>particular<br>ebit date ebit<br>te falls on a<br>ness day.<br>ssed on thi<br>d processe<br>ents:<br>Weekly/*1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 028 INDE<br>will comm<br>tee of the m<br>alt to or, let<br>monto copy<br>fate.<br>asse the sel<br>r month,<br>is not m<br>a non-bus<br>** Will be<br>e day opt<br>win Inst.<br>6                                            | XFUND-F<br>nencefrom<br>andate.<br>sssthan the<br>d on the NA<br>//cancelled<br>lected date<br>the SIP wi<br>entioned c<br>inness day ou<br>t triggered<br>ed by the in<br>next busine                                                       | chemes a<br>For digita<br>26 th Ma<br>end date<br>CCH/OTN<br>cheque<br>falls on a<br>II be pro<br>default c<br>r a bank t<br>and pro<br>vestor. 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| *Investor shall have the option<br>Day or on a date which is no<br>immediate next business day<br>considered as 7th of every mor<br>debit will be processed on the<br>Business Days. # will be trigg<br>falls on non-business day, it wil<br>Please refer below table for mi<br>All schemes, except ETFs<br>& schemes mentioned in                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | te exceeds from<br>M end date.<br>mit Form along<br>e the first SIP Ins<br>of choosing any<br>//date. 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In ca<br>particulai<br>ebit date<br>te falls on a<br>ness day.<br>ssed on thi<br>d processe<br>ents:<br>Weekly/*<br>Meekly/                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 028 INDE<br>will comm<br>tee of the m<br>alt to or, let<br>monto copy<br>fate.<br>asse the sel<br>r month,<br>is not m<br>a non-bus<br>** Will be<br>e day opt<br>win Inst.<br>6                                            | XFUND-F<br>nencefrom<br>andate.<br>ss than the<br>d on the NA<br>//cancelled<br>lected date<br>the SIP wi<br>ientioned c<br>iness day ou<br>a triggered<br>ed by the in<br>next busine<br>Min<br>1200<br>onthly<br>Min                       | chemes a<br>For digita<br>26 th Ma<br>end date<br>CCH/OTN<br>cheque<br>falls on a<br>II be pro<br>default c<br>r a bank t<br>and pro<br>vestor. 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| *Investor shall have the option<br>Day or on a date which is no<br>immediate next business day<br>considered as 7th of every mor<br>debit will be processed on the<br>Business Days. # will be trigg<br>falls on non-business day, it wil<br>Please refer below table for mi<br>All schemes, except ETFs<br>& schemes mentioned in<br>tables below.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | te exceeds from<br>Mend date.<br>mit Form along<br>the first SIP Ins<br>of choosing any<br>t available in a<br>//date. 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| *Investor shall have the option<br>Day or on a date which is no<br>immediate next business day<br>considered as 7th of every mor<br>debit will be processed on the<br>Business Days. # will be trigg<br>falls on non-business day, it wil<br>Please refer below table for mi<br>All schemes, except ETFs<br>& schemes mentioned in<br>tables below.<br>Axis Overnight Fund &<br>Axis Liquid Fund                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | te exceeds from<br>Mend date.<br>mit Form along<br>ethe first SIP Ins<br>of choosing any<br>//date. If SIP d<br>t available in a<br>//date. If SIP d<br>th. If the SIP da<br>f ollowing busis<br>tered and proce<br>be triggered an<br>nimum installm<br><b>**Daily/#</b><br>Min.₹<br><b>*Daily/#</b><br>Min.₹                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | with a ph<br>stallment d<br>date. In cz<br>particular<br>ebit date<br>te falls on z<br>seed on thi<br>d processe<br>ents:<br>Weekly/*I<br>Weekly<br>Min<br>Inst.<br>6                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 028 INDE<br>will comm<br>te of the m<br>nal to or, le<br>mentioned<br>hoto copy<br>late.<br>sise the sel<br>r month,<br>is not m<br>a non-bus<br>** Will be<br>e day optied<br>don their<br>Monthly<br>Min Inst.<br>6<br>*M | XFUND - Fnence from<br>nandate.<br>sssthan the<br>d on the NA<br>//cancelled<br>lected date<br>the SIP wi<br>ientioned c<br>iness day ou<br>a triggered<br>d by the in<br>next busine<br>Min<br>1200<br>onthly<br>Min<br>Inst.<br>NA         | chemes a<br>For digita<br>26 th Ma<br>end date<br>CH/OTN<br>cheque<br>falls on a<br>ll be pro<br>default c<br>r a bankt<br>and pro<br>vestor. 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| *Investor shall have the option<br>Day or on a date which is no<br>immediate next business day<br>considered as 7th of every mor<br>debit will be processed on the<br>Business Days. # will be trigg<br>falls on non-business day, it wil<br>Please refer below table for mi<br>All schemes, except ETFs<br>& schemes mentioned in<br>tables below.<br>Axis Overnight Fund &<br>Axis Liquid Fund<br>Axis ELSS Tax Saver Fund*                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | te exceeds from<br>Mend date.<br>mit Form along<br>the first SIP Ino<br>of choosing any<br>t available in a<br>//date. 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| *Investor shall have the option<br>Day or on a date which is no<br>immediate next business day<br>considered as 7th of every mor<br>debit will be processed on the<br>Business Days. # will be trigg<br>falls on non-business day, it wil<br>Please refer below table for mi<br>All schemes, except ETFs<br>& schemes mentioned in<br>tables below.<br>Axis Overnight Fund &<br>Axis Liquid Fund<br>Axis Liquid Fund<br>Axis ELSS Tax Saver Fund*<br>Axis Banking & PSU Debt Fun<br>Axis Banking & PSU Debt Fund                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | te exceeds from<br>Mend date.<br>mit Form along<br>the first SIP Ino<br>of choosing any<br>t available in a<br>v/date. If SIP da<br>following busis<br>following busis<br>ered and proce<br>be triggered an<br>mimum installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>installm<br>ins                                                     | with a ph<br>stallment d<br>date. 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| *Investor shall have the option<br>Day or on a date which is no<br>immediate next business day<br>considered as 7th of every mor<br>debit will be processed on the<br>Business Days. # will be trigg<br>falls on non-business day, it wil<br>Please refer below table for mi<br>All schemes, except ETFs<br>& schemes mentioned in<br>tables below.<br>Axis Overnight Fund &<br>Axis Liquid Fund<br>Axis ELSS Tax Saver Fund*<br>Axis Banking & PSU Debt Fur<br>Axis Children's Fund, Axis Crn<br>Risk Fund, Axis Dynamic Bon<br>Fund, Axis Equity ETFs FoF,                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | te exceeds from<br>Mend date.<br>mit Form along<br>the first SIP Ins<br>of choosing any<br>t available in a<br>//date. 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| *Investor shall have the option<br>Day or on a date which is no<br>immediate next business day<br>considered as 7th of every mor<br>debit will be processed on the<br>Business Days. # will be trigg<br>falls on non-business day, it wil<br>Please refer below table for mi<br>All schemes, except ETFs<br>& schemes mentioned in<br>tables below.<br>Axis Overnight Fund &<br>Axis Liquid Fund<br>Axis Liquid Fund<br>Axis ELSS Tax Saver Fund*<br>Axis Ghidren's Fund, Axis Git<br>Fund, Axis Guity ETFs FoF,<br>Axis Floater Fund, Axis Git<br>Fund, Axis Gluer FFs FoF,<br>Axis Floater Fund, Axis Git<br>Fund, Axis Short<br>Duration Fund, Axis Short<br>Duration Fund, Axis Sliver Fu<br>Of Fund, Axis Treasury<br>Advantage Fund, Utra Short                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | te exceeds from<br>Mend date.<br>mit Form along<br>the first SIP Ina<br>district SIP Ina<br>available in a<br>//date. 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- tenure. SIP 10p-up facility can be discontinued only by cancelling the SIP. Please see the illustration below to know how to calculate SIP Top-Up amount: SIP Starts on 07/May/2016 SIP ends on 07/12/2099 SIP amount is ₹1000 Top-Up amount is ₹500 Top-Up Frequency is Half-yearly

Top-Up date	SIP Amount (₹)	Top-Up Amount (₹)	New SIP Amount (₹)
7-Nov-2016	1000	500	1500
7-May-2017	1500	500	2000
7-Nov-2017	2000	500	2500
7-May-2018	2500	500	3000

 
 Mar 2026 Index Fund
 Na
 NA

 Axis CRISIL IBX SDL May
 NA
 NA

 2027 Index Fund, Axis CRISIL
 NA
 NA

 1000
 6
 12000
 3

 2025 Index Fund, Axis CRISIL
 NA
 NA
 1000
 6

 2025 Index Fund, Axis CRISIL
 NA
 NA
 1000
 6

 2025 Index Fund, Axis CRISIL
 Na
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 2025 Index Fund, Axis CRISIL
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 2025 Index Fund, Axis CRISIL
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 Note: For all schemes, minimum amount is as per above table and thereafter in multiple of ₹1.
 1
 For Axis ELSS Tax Saver Fund Minimum amount is as per above table and thereafter in multiple of  $\overline{<}500^\circ$ .



## SYSTEMATIC TRANSFER PLAN (STP)

Distributor ARN	SUB-Distributor ARN	Internal SUB-Broker/Sol ID	EUIN	Employee Code	RIA CODE^	PMR (Portfolio Manager's Registration) Number ^^	Serial No., Date & Time Stamp				
pfront commission sh cheme(s) of Axis Mutu	all be paid directly by the invo al Fund under Direct Plan. I/V	estor to the AMFI registered distri Ve hereby give my/our consent to s gistered Investment Adviser. ^^1/	butor based on the inves hare/provide the transa	tor's assessment of vari	ious factors including th io holdings/ NAV etc. in I	e service rendered by the distril respect of my/our investments u	butor. ^ I/We, have invested Inder Direct Plan of all schei				
ansactions data feed,	/portfolio holdings/ NAV etc.	gistered Investment Adviser. ^^I, in respect of my/our investments as been intentionally left blank	under Direct Plan of all s	chemes of Axis Mutual	Fund, to the above men	tioned SEBI Registered Portfol	io Manager.				
erson of the above	distributor/sub broker or	notwithstanding the advice of	in-appropriateness,	fany, provided by the	eemployee/relations	hip manager/sales person o	f the distributor/subbro				
You/ Sole A	pplicant /Guardian	Second Ap	plicant	Thir	d Applicant	Power of	Attorney Holder				
1 Applic	ant Details			1	Folio No.						
Sole / 1st U (as in PAN Card Guardian's I (as case of mino	/ KYC records)	First Name		Mic	ddle Name		Las: Name				
1st Holder PAN 3rd Holder PAN			nd Holder	2nd A	pplicant						
	MATIC TRANSF	ER PLAN (STP) (To be	submitted at lea	st 4 working day	ys before the 1st	due date for transfer	).				
From Scheme	e <sup>#</sup>					Plan Direct	Regular				
Option (tick	✓) □ Growth	IDCW Reinvestm	ent 🗌	IDCW Payout	IDCW Fr	equency					
To Scheme						Plan Direct	Regular				
Option (tick	✓) □ Growth □	IDCW Reinvestment	IDCW Payo		IDCW Fr	. ,					
Sys	tematic Transfer	Plan (STP) (Ref. Instruc	tion 5)	(Ref Instruction		stematic Transfer I	Plan (CapSTP)				
Transfer Free	quency (Please tick (✔)	any one of the below freque	encies) Trar	sfer Frequency (Pl	ease tick (✔) any on	ne of the below frequenci	es)				
Daily	(Monday To Friday) D	av of transfer		Weekly (Monday To Friday) * Day of transfer         Monthly\$       (Please tick (✓) any one)         Quarterly\$       1st       7th       10th       15th       25th							
	y (Every Alternate We	·									
☐ Monthly \$ □ Quarterly	l 1st 7th	🗌 10th 🗌 15th	25th SPlea	ase refinstruction no	o. 12.						
Transfer Inst		No. of Inst	alments	<b>OR</b> Transfer F		D M M Y Y D (First Instalment)	D M M Y Y (Last Instalment)				
terms, conditi not involve an Money Laund Scheme(s), leg Mutual Fund, other action w The ARN hold Mutual Funds For NRIs only channels or frr	ons, rules and regulation d is not designed for thin ering Laws, Anti Corrup / we have not received n (ally belong to me / us. In to redeem the funds in vith such funds that may er has disclosed to me/u from amongst which thin 1 / We confirm that 1 an funds in my/our Nor	tents of the Scheme Informations governing the Scheme(s). e purpose of the contravent tion Laws or any other appli or have been induced by any the event "Know Your Cust- rested in the Scheme(s), in fa be required by the Law. Is all the commissions (in the e Scheme is being recommer any / we are Non Residents on-Resident External / Non-R y me/us are true and correct	I/ We hereby declar ion of any Act, Rules cable laws enacted vrebate or gifts, dire omer" process is not vour of the applicar form of trail commis ided to me/us. of Indian nationalit, esident Ordinary/F	e that the amount ir s, Regulations, Noti by the Government ctly or indirectly in completed by me / t, at the applicable ssion or any other m / / origin and that I	nvested in the Scher fications or Directi : of India from time i making this investm us to the satisfactio NAV prevailing on t node), payable to hin	me(s) is through legitimate ons of the provisions of th to time. I/ We have under nent. I/ We confirm that th on of the Mutual Fund, I/w the date of such redempti n for the different compet	e sources only and doe le Income Tax Act, Ant stood the details of th he funds invested in th re hereby authorise th on and undertake such ing Schemes of variou				
First / Sol	e Applicant / Guardia	an Second A	pplicant	Third	Applicant	ΡΟΑ	Holder				
		ACKNOWLED	GMENT SLIP	(To be filled ir	n by the invest	tor)					
Folio No.			Investor Name								
From Schem	ne		To Sch	eme							

Frequency

Amount

Stamp & Signature

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### **STP Enrolment Form - Instruction**

- 1. The STP Enrolment Form should be completed in English and in Block Letters only. Please tick in the appropriate box, where boxes have been provided. The STP Enrolment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of Axis Mutual Fund.
- 2. One STP Enrolment Form can be filled for one Scheme/Plan/Option only.
- 3. Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Transferee Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) and SAI are available with the ISCs of Axis Mutual Fund, brokers/distributors and also displayed at the Axis Mutual Fund website i.e. www.axismf.com
- 4. Unit holders should note that unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme, Units will be allotted under the same folio number. Unit holders' names should match with the details in the existing folio number, failing which; the application is liable to be rejected.
- 5. STP offers unit holders the following two Plans:
  - 1. Systematic Transfer Plan (STP)
  - 2. Capital Appreciation Systematic Transfer Plan (CapSTP)

Investor's can opt for any of the above facility.

Systematic Transfer Plan (STP) offers transfer facility at Daily, Weekly, Fortnightly, Monthly and Quarterly intervals.

Capital Appreciation STP (CapSTP) offers transfer facility at Weekly, Monthly and Quarterly intervals. If no frequency is chosen, Monthly frequency shall be treated as the Default Frequency.

6. Under the CapSTP-Weekly Interval, unit holders will be eligible to transfer the entire capital appreciation amount (minimum ₹ 500) by way of capital appreciation from Monday to Friday.

Monthly Interval, unit holders will be eligible to transfer the entire capital appreciation amount(minimum ₹ 500) by way of capital appreciation on the 1st, 7th, 10th, 15thor 25thofeach month.

Under the CapSTP-Quarterly Interval, unit holders will be eligible to transfer the entire capital appreciation amount (minimum ₹1,000) by way of capital appreciation on the 1st, 7th, 10th, 15th or 25th of the first month of each quarter. The beginning of the quarter could be of any month e.g. January, March, July, September, etc. Please note that no transfers will take place if there is no minimum capital appreciation amount (except for last transfer leading to closure of account). The capital appreciation, if any, will be calculated from the enrolment date of the CapSTP under the folio, till the first transfer date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous CapSTP date(where CapSTP has been processed and paid) and the next CapSTP date.

- 7. The provision of 'Minimum Redemption Amount' as specified in the Scheme Information Document(s) of the respective designated Transferor Schemes and 'Minimum Application Amount' specified in the Scheme Information Document(s) of the respective designated Transferee Schemes will not be applicable for STP.
- 8. Unit holders are required to fill in either the number of instalments OR the enrolment period in the STP Form, failing which the STP shall be registered as per No. of Instalments mentioned.
- 9. In case Day of Transfer has not been indicated under STP- Weekly frequency, Wednesday shall be treated as Default day.
- 10. In case, the Enrolment Period has been filled, but the STP Date and/or Frequency (Monthly/Quarterly) has not been indicated, Monthly frequency shall be treated as Default frequency and 10th shall be treated as Default Date.
- 11. The application for STP/ Cap STP enrolment Monthly & Quarterly Interval should be submitted at least 4 working days and not more than 90 days before the desired commencement date.

12.	Please refer below table for min. no. of installments and minimum amount per installment:
± <u>-</u> .	r leaser erer below table for minitino. Or mistamments and minimum antiper mistamment.

STP Frequency	Cycle Date	Minimum Amount* (in ₹)	Minimum Installment		
Daily	Monday To Friday	1,000/-	6		
Weekly	Monday To Friday	1,000/-	6		
Fortnightly	Alternate Wednesday	1,000/-	6		
Monthly 1st, 7th, 10th, 15th or 2		1,000/-	6		
Quarterly	1st, 7th, 10th, 15th or 25th	3,000/-	2		

If the Transferree scheme is Axis ELSS Tax Saver Fund, minimum STP amount is ₹500.

- 13. In respect of STP, the Load Structure prevalent at the time of enrolment shall govern the investors during the tenure of the STP.
- 14. A minimum period of 4 working days shall be required for registration under STP. Units will be allotted/redeemed at the applicable NAV of the respective dates of the Scheme on which such investments/withdrawals are sought from the Scheme.
- 15. The AMC reserves the right to introduce STPs at any other frequencies or on any other dates as the AMC may feel appropriate from time to time. In the event that such a day is a Holiday, the transfer would be affected on the next Business Day.
- 16. The requests for discontinuation of STP/CapSTP shall be subject to an advance notice of 4 working days before the next due date for STP.
- 17. STP will terminate automatically if all Units are liquidated or withdrawn from the account or upon the Funds' receipt of notification of death or incapacity of the Unit holder. Further, in case where the balance amount in a folio is less than the STP/CapSTP amount, the entire amount will be transferred to the transferee scheme.
- 18. If STP date/day is a non-Business Day, then the next Business Day shall be the STP Date / Day and the same will be considered for the purpose of determining the applicability of NAV.
- 19. The Trustee reserves the right to change/modify the terms and conditions of the STP. For the updated terms and conditions of STP, contact the nearest ISC or visit our website www.axismf.com

### Declaration Form of Non-Profit Organization (NPO) (Mandatory for Trusts/Society)

Investor Name																				
PAN																				
I/We hereby confirm that above stated entity/organization is falling under " <b>Non-profit organization</b> " <b>[NPO]</b> which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).																				
Enclosed relevant documentary proof evidencing the above definition.																				
We fur ther confirm that	it we have	register	ed with l	DARPAI	N Portal	ofNIT	TAay	og as N	IPO a	andre	gistra	ition	deta	ails a	reas	foll	ows:			
Registration Number of DARPAN portal																				
lf not, please register i MF/AMC/RTA will be r		,															0	strati	on de	etails,
I/We hereby conf PMLA Act/Rulest		he above	e stated	entity /	organiz	ation	is NC	<b>)T</b> falli	ing u	nder I	Non-p	profit	t org	ganiz	atior	n as	defi	ned a	oove	e or in

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries or any other statutory authorities to facilitate single submission / update & for regulatory purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

### Signature with relevant seal:

	Authorized Signatory	Authorized Signatory	Authorized Signatory
Date	D D M M Y Y Y		
Place			

this Page has been intentionally left blank.

### SMART SWITCH FACILITY ENROLMENT FORM

•			ORM. ALL SECTIONS			BLACK/BLUE COLOURED IN	K & IN BLOCK LETTERS Serial No., Date
Distributor ARN	Sub-Distributor ARN	Internal Sub-Broker/Sol ID	EUIN	Employee Code	RIA CODE^	PMR (Portfolio Manager's Registration) Number ^^	& Time Stamp
scheme(s) of Axis Mutu Axis Mutual Fund, to tl transactions data feed,	al Fund under Direct Plan. I/ he above mentioned SEBI R /portfolio holdings/ NAV etc	We hereby give my/our consent egistered Investment Adviser. c. in respect of my/our investme	to share/provide the trans ^^I/We, have invested in nts under Direct Plan of al	actions data feed/port the scheme(s) of Axis Ischemes of Axis Mutu	folio holdings/NAV etc. i Mutual Fund under Dir Ial Fund, to the above me	the service rendered by the distribut n respect of my/our investments un ect Plan. I/We hereby give my/our intioned SEBI Registered Portfolio ion or advice by the employee/	der Direct Plan of all schemes o r consent to share/provide the Manager.
person of the above	distributor/subbroker o	r notwithstanding the advic	e of in-appropriateness	s, if any, provided by t	the employee/relation	nship manager/sales person of t	the distributor/sub broker.
You/ Sole A	pplicant /Guardian	Second	Applicant	Th	ird Applicant	Power of A	Attorney Holder
01 INVESTO	R DETAILS						
Existing folio nu	ımber			PAN/PEKRN	(1st Applicant)		
My Name							
02 SCHEME	DETAILS (for Lumpsur	n investment / Switch Out Sc	heme) (Purchase applica	itions would be accep	ted only upto applicab	le cut -off timings on March 3, 20	25.)
Scheme name (Please ✓ choice		is Overnight Fund					
Plan (Please ✓ choice	of Scheme)	gular Plan 🗌 Di	rect Plan		Option	Growth Option	1
03 INVESTM	IENT DETAILS						
DD/Cheque N	lo.	NEFT/ RTGS/	Fund Transfer		One Tim	e Mandate (OTM)	
Amount ₹ (in fi	gures)		₹ (in words)				
Dated	DDM	ΜΥΥΥΥΥ	Bank & Branch				
04 SWITCH	IN SCHEME DETA	ILS					
Scheme name		A BOND FINANCIAL	SERVICES - MAR			Plan Regular Pla	n 🗌 Direct Plan
	Growth Opt		SERVICES MAR	2020 1102/110			
Option	· ·	erms & conditions of S	MART SWITCH F	acility			
	ATION AND SIGNA			aciiity.			
terms, conditions, designed for the p Laws or any other. investment. I/We Fund, (I/we hereb undertake such oti the different comp prescribed in the p information/data information conta financial and invest disclosed with the available at the we I/We confirm that (Applicable for Mii abroad through ap correct. I/ We give my com- receive communic preferences with t I/We hereby prov my/ our Aadhaar n the Aadhaar numl purpose of updatir <b>CERTIFICATION:</b> on this Form is true I/We have read an previous nominati <b>Declaration: I/We</b>	details, rules and regula urpose of the contravent applicable laws enacted l confirm that the funds in y authorize the Mutual F her action with such fund- being Schemes of variou provided by me to conta- ined herein to its affilia- stment products and offi- tabove mentioned parti- bsite of the Company. I/We do not have any exi cro investment only.) wit poproved banking channel sent to Axis Asset Mana, cation pertaining to tra- he Customer Preference ide my/our consert in acc umber(s) (if provided) in ber(s) including demogra- ng the same in my/our foli I/We have understood the e, correct, and complete. Ind understood the instru- ons made by me/us in res-	ations governing the schem tion of any Act, Rules, Regul. by the Government of India' vested in the Scheme, legal Fund, to redeem the funds' is that may be required by th is Mutual Funds amongst w ailable on the website of the act me through any channe tes/group companies or the hering of other services. I/W les including with any regul sting Micro SIP/Lumpsum in h your fund house. For NRIs is or from funds in my/ our N gement Company Limited a nsactions/ non-commercial Registration Facility. cordance with Aadhaar Act, accordance with Aadhaar Act, aphic information with the oswithmy PAN. he information requirement I/W also confirm that I/W. uctions on nomination give peot the folio(s) mentione XIS NIFTY AAA BOND FIN	e. I/We hereby declare ations, Notifications or from time to time. I/we ly belongs to me/us. In nvested in the Scheme e law, The ARN holder hich the Scheme is bei AMC / Fund. I/We her l of communication in eir Authorized Agents 'e agree that all persor atory, statutory or jud nvestments which toge only - I / We confirm th on Resident External / nd its agents to contac l transactions/ promo 2016 and regulations I ar Act, 2016 (and regula asset management co tsof this Form (read alo ehave read and unders n below/overleaf and ed above. RT SWITCH Facility or	that the amount in Directives of the pr have not received in event "Know Your Co- i, in favour of the ap has disclosed to mey- ng recommended to eby give consent to to cluding but not limit or Third Party Serv- ial or transactional i licial authorities for ther with the curren iat lam/ we are Non Non Resident Ordir t me over phone, SN tional/ potential im- made thereunder, fo ations made thereund panies of SEBI reg ng with the FATCA & CI I/We hereby underfund a foresaid page and page and the second the second the second the second the second the second the second the second the second the second the second the second the second the second the second the second the second the second the second the second the second	vested in the scheme ovisions of the Incom or have been induced ustomer" process is r plicant, at the applica (usal the commission me/us. I / we give my the Company or its At ted to email, telephoi ice Providers in orde related information c compliance with any at application will resu Residents of Indian n hary / FCNR account. //S, email or any other vestments and other r (i) collecting, storing ider) and PMLA. I //We gistered mutual fund a CRS Instructions) an RS Terms and Condition take to abide by the schemets y cou	y for units of the scheme. I have a is through legitimate source a tax Act, Anti Money Launder by any rebate or gifts, directly u- tot completed by me/us to the ble NAV prevailing on the dat s (trail commission or any other // our consent to collect perso ithorized Agents and third part ne, sms, etc. and further author r to provide information and ollected/provided by me can by lationality/origin and that I/We //We confirm that details provide mode to address my investme communication/ material irreg and usage (ii) validating/auther hereby provide my/our consert (s) and their Registrar and Tra dhereby confirm that the infor ons below and hereby accept th same. The instructions contain to switch all units allotted in set s & conditions of the target scl	only and does not involve ing Laws, Anti Corruption or indirectly in making this satisfaction of the Mutual e of such redemption and rmdel, payable to him for nal data or information as ty service providers to use vrise the disclosure of the updates to me on various e shared/transferred and nee with privacy policy as ceeding ₹50,000 in a year have remitted funds from ded by me/us are true and ent related queries and/or espective of my blocking enticating and (ii) updating tf or sharing/disclosing of nsfer Agent (RTA) for the mation provided by me/us ne asame. ned herein supercedes all <b>ource scheme for amount</b>
You/ Sole	Applicant /Guardian	Second	Applicant	Т	hird Applicant	Power of A	Attorney Holder
Date D D N	ИМҮҮҮҮ	Place					

### TERMS AND CONDITIONS FOR SMART SWITCH FACILITY

- 1. SMART SWITCH Facility ("the Facility") allows investors to first invest in the Source Scheme and then automatically Switch invested principle amount into scheme whose NFO is going on. The switch from source scheme to NFO scheme takes place on the last day of the NFO.
- 2. Currently, Axis Overnight Fund will act as the Source Scheme. Investments would be allowed only under the Growth Option of these Schemes.
- 3. In case of existing investments in any of the Source Schemes and additional purchase through this facility, the switch will be effected on FIFO basis and to the extent of the additional units purchased through this facility.

Example: Investor A (having exiting 5,000 units) in Axis Overnight Fund, done additional purchase of ₹ 150000 (15000 units appx) through Smart Switch. In that case, the switch out will be processed only for 15,000 units (refer point 3) and not for 20,000 units. Further, the switch- out of 15000 units will be done as per FIFO method, 5,000 units from existing investment + 10,000 units from Smart Switch Investments = 15,000 units irrespective of valuation (Gain/Loss) on switch out date.

- 4. New / First Time Investors with Axis Mutual Fund are required to fill in the Application Form along with the SMART SWITCH Enrollment Form.
- 5. Switch out will be subject to applicable taxes and exit Load, if any in the Source Scheme.
- 6. This facility is applicable for units held in non-demat mode.
- 7. The purchase/switch in application should meet the Minimum Application Amount requirement under each Scheme. In case, the minimum application amount requirement is not met, the application will be rejected.
- 8. Investments in the specified source schemes will be accepted till applicable cut off time for the respective schemes on March 3, 2025 and if the corresponding units in the source scheme are allotted on or before March 3, 2025, then the NFO switch will be executed on March 4, 2025. In case the units in the source scheme are allotted after March 3, 2025 the NFO switch will not be executed.
- 9. The enrollment form not complete in all respects is liable to be rejected. Axis Mutual Fund / Axis AMC reserves the right to reject any application without assigning any reason thereof.
- 10. Axis Mutual Fund / Axis AMC reserves the right to change/modify the facility or withdraw the facility at any given point in time.
- 11. NFO switch (out) will be subject to availability of amount in source scheme, on the last day of ongoing NFO.

Fund Name & Benchmark	Product Labelling	Scheme Risk-o-meter	Benchmark Risk-o-meter	Potential Risk Class Matrix
AXIS OVERNIGHT FUND (An open ended debt scheme investing in overnight securities. A relatively low interest risk & relatively low credit risk) Benchmark: NIFTY 1D Rate Index	<ul> <li>This product is suitable for investors who are seeking*:</li> <li>Regular income with high levels of safety and liquidity over short term.</li> <li>Investment in debt and money market instruments with overnight maturity.</li> <li>*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.</li> </ul>	Moderate Moderate Risk Moderate High Risk High Risk High Risk High Risk SCHEME RISSON(THE The risk of the scheme is Low	Moderately Pign Risk Noterately Risk Experimental Pign Risk High Risk Experimental Pign Risk High Risk Experimental Pign Risk High Risk	Credit Risk     Relatively Low (Class A)     Moderate (Class B)     Relatively (Class C)       Relatively Low (Class II)     A-1       Moderate (Class II)     I       Relatively High (Class III)     I
AXIS NIFTY AAA BOND FINANCIAL SERVICES - MAR 2028 INDEX FUND (An open-ended Target Maturity Index Fund investing in constituents of Nifty AAA Financial Services Bond Mar 2028 Index. A moderate interest rate risk and relatively low credit risk) Benchmark: Nifty AAA Financial Services Bond Mar 2028 Index	<ul> <li>This product is suitable for investors who are seeking*</li> <li>Income over the target maturity period.</li> <li>An open ended target maturity indexfund tracking Nifty AAA Financial Services Bond Mar 2028 Index, subject to tracking error/tracking difference.</li> <li>*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.</li> </ul>	Moderate Risk Moderate Risk Science & Science	Moderate Bick Moderate Risk Bick Bick Bick Bick Bick Bick Bick Bic	Credit Risk     Relatively Low (Class A)     Moderate (Class B)     Relatively High (Class C)       Relatively Low (Class I)     A-II     Image: Class C)       Moderate (Class II)     A-II     Image: Class C)       Relatively High (Class III)     Image: Class C)     Image: Class C)







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